The Successor Function for Minimal Words in Rational Base Numeration Systems

Victor Marsault joint work with Shigeki Akiyama and Jacques Sakarovitch

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- 1 Rational base numeration systems
 - From integer base to rational base
 - Counting in rational base
 - The language $L_{\frac{p}{q}}$
- 2 Infinitary perspective
- 3 The successor function on minimal words
- 4 Span of nodes

- Base: *p* ≥ 2
- Alphabet: $A_p = \{0, 1, \dots, p-1\}$

Eg., in base 3, numbers are represented using the digits 0, 1 and 2.

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Evaluation (Words → Numbers)

$$\forall a_n \cdots a_1 a_0 \in A_p^*$$

$$\pi_p(a_n \cdots a_1 a_0) = a_n p^n + \cdots + a_1 p^1 + a_0 = \sum_{i=0}^n a_i p^i$$

Eg., in base 3,
$$\pi_3(0121) = 0 \times 27 + 1 \times 9 + 2 \times 3 + 1 \times 1 = 16$$

Representation (Numbers \rightarrow Words)

- Right-to-left: Euclidean division algorithm
 - $\forall n > 0$ $\langle n \rangle_p = \langle n' \rangle_p \, a$, where (n', a) is the Euclidean division of n by p.

$$\langle 0 \rangle_{\!p} = \varepsilon$$

Left-to-right: greedy algorithm...

Eg., in base 3,
$$\langle 14 \rangle_3 = \langle 4 \rangle_3 2$$
, since $14 = 4 \times 3 + 2$
 $= \langle 1 \rangle_3 12$, since $4 = 1 \times 3 + 1$
 $= \langle 0 \rangle_3 112$, since $1 = 0 \times 3 + 1$
 $= \varepsilon 112$
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- $(\mathbb{N})_{p} = (A_{p} \setminus \{0\}) A_{p}^{*}$

- Base: $\frac{p}{a}$ where
 - p and q are coprime integers
 - p > q > 1
- Alphabet: $A_p = \{0, 1, \dots, p-1\}$

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$$\forall a_n \cdots a_1 a_0 \in A_p^*$$

$$\pi_p(a_n \cdots a_1 a_0) = \frac{a_n}{q} \left(\frac{p}{q}\right)^n + \cdots + \frac{a_1}{q} \left(\frac{p}{q}\right)^1 + \frac{a_0}{q}$$

$$= \sum_{i=0}^n \frac{a_i}{q} \left(\frac{p}{q}\right)^i$$

Eg., in base
$$\frac{3}{2}$$
, $\pi_{\frac{3}{2}}(0212) = \frac{0}{2} \times \frac{27}{8} + \frac{2}{2} \times \frac{9}{4} + \frac{1}{2} \times \frac{3}{2} + \frac{2}{2} \times 1 = 4$
 $\pi_{\frac{3}{2}}(1) = \frac{1}{2}$

Representation (Numbers → Words)

- Right-to-left: Modified Euclidean Division Algorithm (MED)
 - $\forall n>0$ $\langle n\rangle_{\frac{p}{q}}=\langle n'\rangle_{\frac{p}{q}}a$, where (n',a) is the Euclidean division of $(q\,n)$ by p.
 - $\langle 0 \rangle_{rac{p}{q}} = arepsilon$

Eg., in base
$$\frac{3}{2}$$
, $\langle 8 \rangle_{\frac{3}{2}} = \langle 5 \rangle_{\frac{3}{2}} 1$, since $(8 \times 2) = 5 \times 3 + 1$
 $= \langle 3 \rangle_{\frac{3}{2}} 11$, since $(5 \times 2) = 3 \times 3 + 1$
 $= \langle 2 \rangle_{\frac{3}{2}} 011$, since $(3 \times 2) = 2 \times 3 + 0$
 $= \langle 1 \rangle_{\frac{3}{2}} 1011$, since $(2 \times 2) = 1 \times 3 + 1$
 $= 21011$, since $(1 \times 2) = 0 \times 3 + 2$

Representation (Numbers → Words)

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Left-to-right: no such algorithm !

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Addi	tion	in ba	se 3		
		1	1	1	
	+		2	2	
		1	3	3	
			+1	-3	
		1	4	0	
		+1	-3		
		2	1	0	

Carry Rule: +1 -3

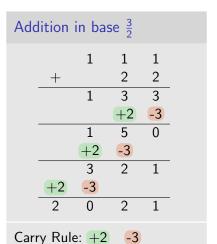
Addi	tion	in ba	se 3		
	+	1	1 2 3	1 2 3	
			+1	-3	
		1 +1 2	4 -3 1	0	

Carry Rule: +1 -3

Addition in base $\frac{3}{2}$

Carry Rule: +2 -3

Addition in base 3						
		1	1	1		
	+		2	2		
		1	3	3		
			+1	-3		
		1	4	0		
		+1	-3			
•		2	1	0		
Carry	Rule	e: +1	-3			



Knowing that

- carry rule for base $\frac{p}{q}$: +q -p; $\langle 1 \rangle_{\frac{p}{q}}$ is the digit 'q'.

We may compute the representation of any integers:

Eg., computation of
$$\langle 4 \rangle_{\! \frac{3}{2}} = \langle 1+1+1+1 \rangle_{\! \frac{3}{2}}$$

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We indeed just redefined the MED algorithm!

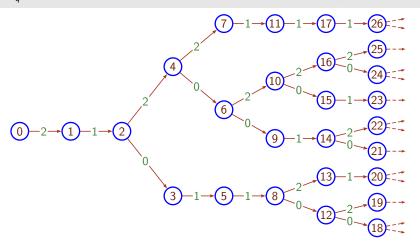


Figure: The language $L_{\frac{3}{2}}$ represented as a tree

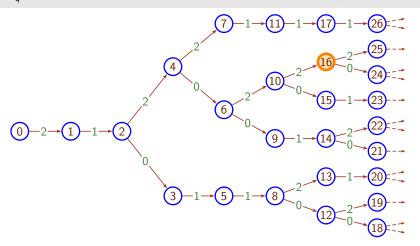


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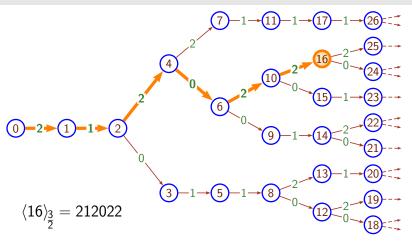


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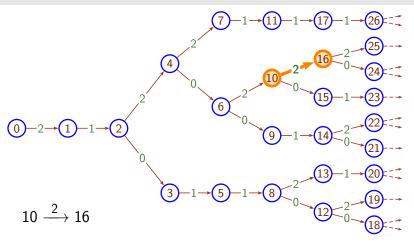


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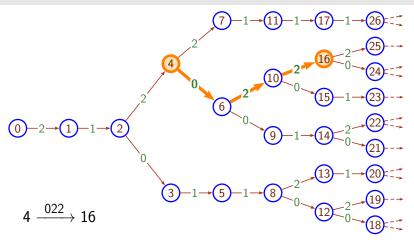


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 $\mathcal{T}_{\frac{p}{q}}$: the <code>infinite</code> automaton accepting $0^*L_{\frac{p}{a}}$.

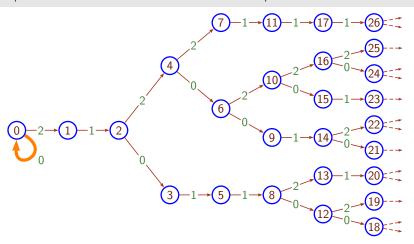


Figure: The infinite automaton $\mathcal{T}_{\frac{3}{2}}$

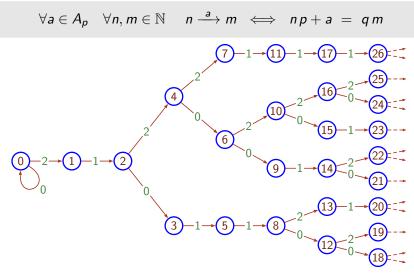
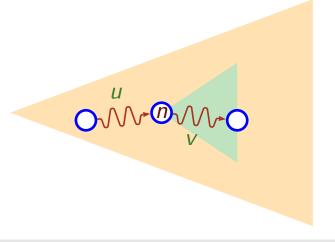


Figure: The infinite automaton $\mathcal{T}_{\frac{3}{2}}$



Past is characterised by congruency modulo powers of p

• Future -//- of *q*

u: a word of length k n, n': two integers

Future Lemma [AFS'08]

Any two of the following implies the third

- (i) $n \xrightarrow{u} \cdot$ (ii) $n' \xrightarrow{u} \cdot$
- (iii) $n \equiv n' [q^k]$

Past Lemma [AFS'08]

Any two of the following implies the third

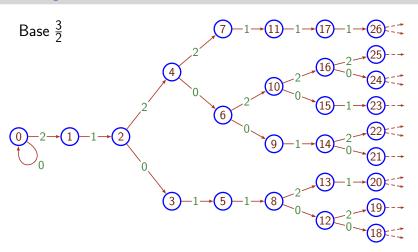
- (i) $\cdot \xrightarrow{u} n$
- $(ii) \cdot \xrightarrow{u} n'$
- (iii) $n \equiv n' [p^k]$

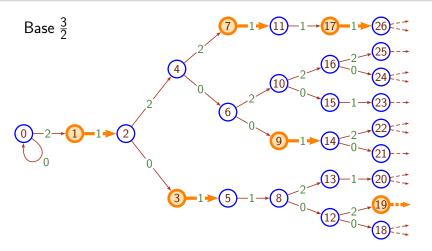
Theorem [AFS'08]

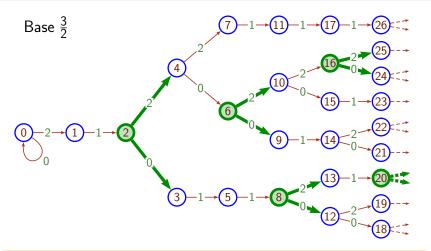
 $L_{\frac{p}{q}}$ is not a regular language, nor even a context-free language.

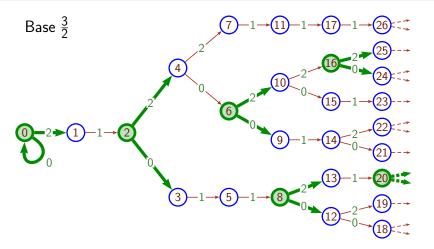
Theorem [AFS'08]

w: an infinite whose every prefix belongs to $L_{\frac{p}{q}}$. Then, w is aperiodic.







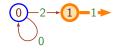


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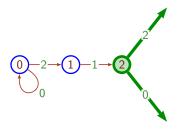
Every odd node has one outgoing arc labelled by 1.



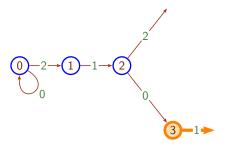
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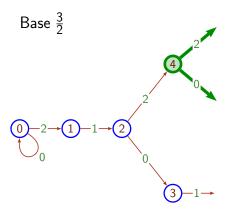
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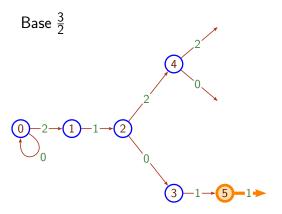


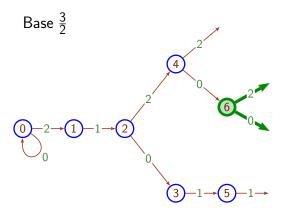
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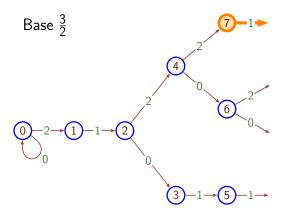


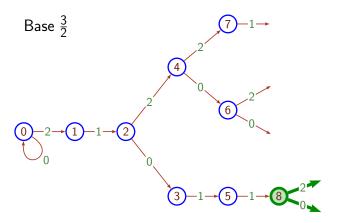
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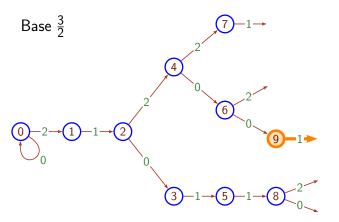


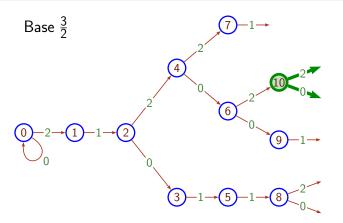


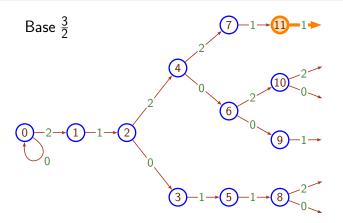


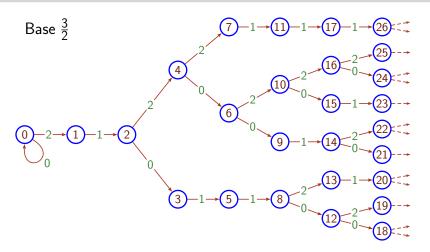












- 1 Rational base numeration systems
- 2 Infinitary perspective
 - Real-evaluation
 - The world of minimal words
- 3 The successor function on minimal words
- 4 Span of nodes

Topology on infinite words

- Distance between two words is 2^{-i} if their longest common prefix has length i.
- A finite word u is considered as $u\#^{\omega}$ (# is a special letter).
- The topological closure adh(L) of $L \in (A^* \cup A^{\omega})$ is then:

$$\mathrm{adh}(L) = \left\{ w \in A^\omega \;\middle|\; \forall i \in \mathbb{N}, \quad \exists w' \in L, \;\; w \; \mathrm{and} \; w' \; \mathrm{have} \right.$$
 the same prefix of length i

Definition

$$W_{rac{
ho}{q}}= ext{adh}(0^*L_{rac{
ho}{q}})$$

or, equivalently,

$$W_{rac{p}{q}} = \{ ext{ infinite words whose run may continue forever in } \mathcal{T}_{rac{p}{q}} \}$$

Definition

$$\rho_{\frac{p}{q}}: A_p^{\omega} \longrightarrow \mathbb{R}$$

$$a_1 a_2 \cdots a_k \cdots \longmapsto \sum_{k \geq 1} \frac{a_k}{q} \left(\frac{p}{q}\right)^{-k}$$

Ex:
$$\rho_{\frac{p}{q}}(1^{\omega}) = 1\frac{1}{2}\left(\frac{3}{2}\right)^{-1} + 1\frac{1}{2}\left(\frac{3}{2}\right)^{-2} + \cdots = \frac{1}{2}\frac{\frac{2}{3}}{1 - \frac{2}{3}}$$

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Convention

For real-evaluation, any finite word is assumed to end with 0^{ω} .

Ex:
$$\rho_{\frac{p}{q}}(21) = 2\frac{1}{2} \left(\frac{3}{2}\right)^{-1} + 1\frac{1}{2} \left(\frac{3}{2}\right)^{-2} = 0.888 \cdots$$

Reminder

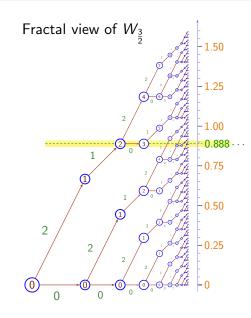
$$\rho_{\frac{p}{q}}(a_1 a_2 \cdots a_k \cdots) = \sum_{k \ge 1} \frac{a_k}{q} \left(\frac{p}{q}\right)^{-k}$$

$$\rho_{\frac{p}{q}}(a_1a_2\cdots a_k) = \rho_{\frac{p}{q}}(a_1a_2\cdots a_k0^{\omega})$$

Eg:
$$\rho_{\frac{3}{2}}(21) = 2\frac{1}{2}\frac{2}{3} + 1\frac{1}{2}\frac{4}{9}$$

= 0.888 · · · $\rho_{\frac{3}{2}}(210) = \rho_{\frac{p}{q}}(21)$

 $= 0.888 \cdots$



Theorem [AFS'08]

 $ho_{rac{p}{q}}$ is a continuous and increasing function $(W_{rac{p}{q}},\leq_{\mathsf{rad}}) o (\mathbb{R},\leq).$

NB: it is not true for $(A_p^*, \leq_{\mathsf{rad}}) \to (\mathbb{R}, \leq)$.

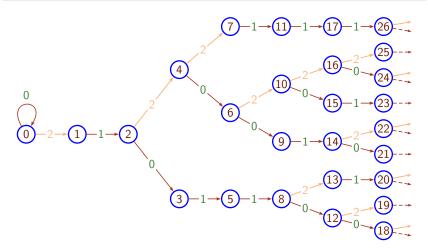
Theorem [AFS'08]

 $\rho_{\frac{p}{q}}(W_{\frac{p}{q}})$ is an interval.

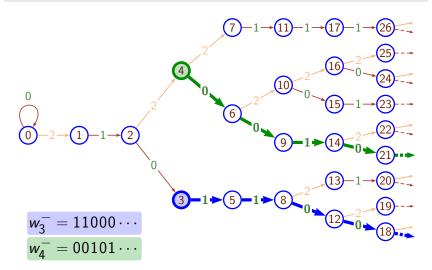
Corollary

Real numbers may be represented in rational base numeration systems.

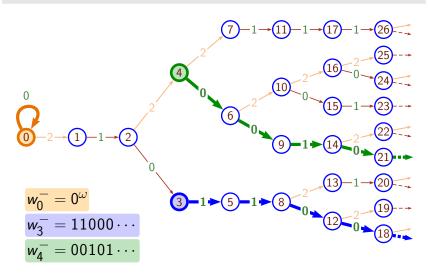
 w_n^- : the (infinite) word starting from *n* taking the lowest branch.



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Given an integer n, the minimal word w_n^- is

- lacksquare over the alphabet $\{0,\ldots,(q-1)\}=A_q$
- the unique word over A_q readable from n

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Reformulation of the 'Future Lemma'

 w_n^- and w_m^- have the same prefix of length k.

$$n \equiv m \ [q^k]$$

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Reformulation of the 'Future Lemma'

 w_n^- and w_m^- have the same prefix of length k.

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Corollary

- Minimal words are pairwise distinct.
- Every minimal word (but w_0^-) is aperiodic.

Minimal words – Set properties



 $\Omega_{\frac{p}{q}}$: the set of minimal words.

NB: $\Omega_{\frac{p}{q}}$ is incomparable with $W_{\frac{p}{q}}$

Properties

- The topological closure of $\Omega_{\frac{p}{q}}$ is A_q^* whole.
- $lackbox{ } \Omega_{rac{p}{q}}$ is countable
- The interior of $\Omega_{\frac{p}{a}}$ is *empty*.

- 1 Rational base numeration systems
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- 3 The successor function on minimal words
 - Definition
 - Remarkable case of $\frac{3}{2}$
 - General case
- 4 Span of nodes

Successor function

$$\xi: A_q^{\omega} \longrightarrow A_q^{\omega}$$

$$w_n^- \longmapsto w_{n+1}^-$$

$$\xi: A_q^{\omega} \longrightarrow A_q^{\omega}$$

$$w_n^- \longmapsto w_{n+1}^-$$

Why study this function?

- Could have been simple :
 - $w_n^- = a w_{n+p}^-$ for some digit a and integer p (or, equivalently $\xi^p(w_n^-)$ is the shifted of w_n^-).
 - It is "letter-to-letter" (cf. next slide).
- Iterating it browse through $L_{\frac{p}{q}}$.

Lemma

The successor function ξ is **letter-to-letter**: w and w' have a common prefix of length i $\xi(w)$ and $\xi(w')$ have a common prefix of length i

Proof. 1 Let k,j be such that $w=w_k^-$ and $w'=w_j^-$. 2 By Def. of ξ , $\xi(w)=w_{k+1}^-$ and $\xi(w')=w_{j+1}^-$. 3 w_k^- and w_j^- have a common prefix of length i $\iff k\equiv j\ [q^i]$ (From future Lemma) $\iff k+1\equiv j+1\ [q^i]$ $\iff w_{k+1}^-$ and w_{j+1}^- have a common prefix of length i (From future Lemma again)

Lemma

The successor function ξ is **letter-to-letter**: w and w' have a common prefix of length i $\xi(w)$ and $\xi(w')$ have a common prefix of length i

- \Rightarrow Reading the i-th letter of w_k^- allows to output the i-th letter of $w_{k+1}^-.$
- \Rightarrow The successor function ξ is realised by an infinite-state, letter-to-letter and sequential transducer: $\mathcal{D}_{\frac{p}{2}}$.

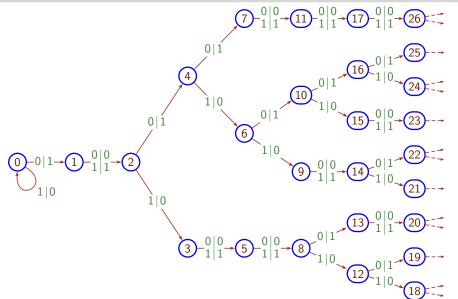


Figure: $\mathcal{D}_{\frac{3}{2}}$, the infinite transducer realising ξ in base $\frac{3}{2}$

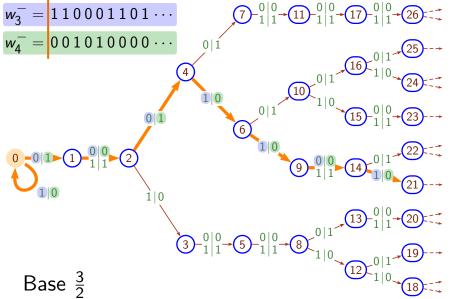


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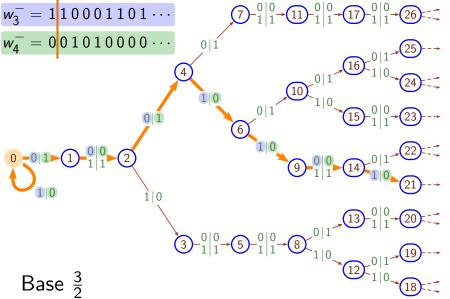


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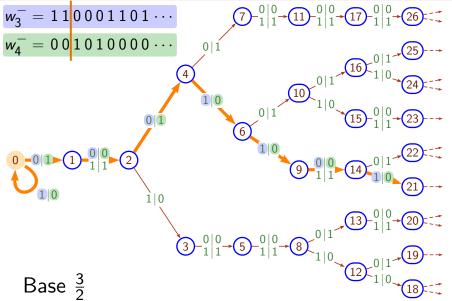


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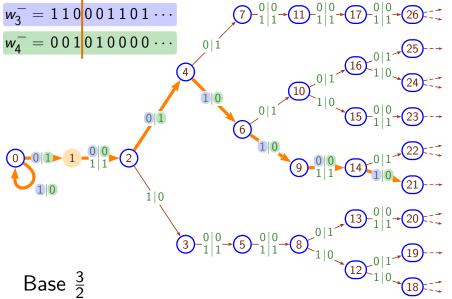
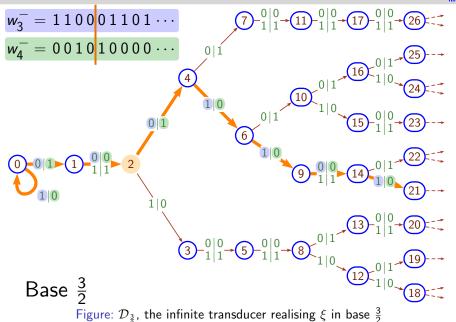


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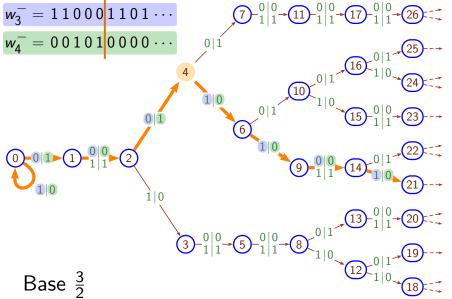


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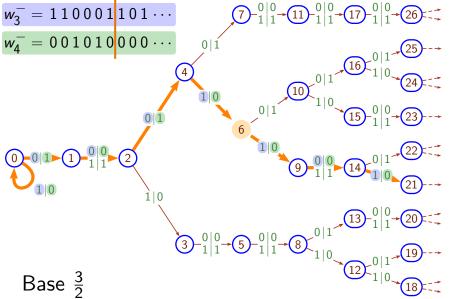


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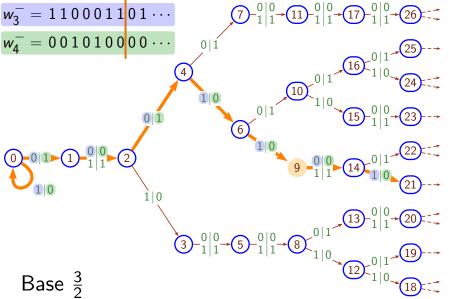


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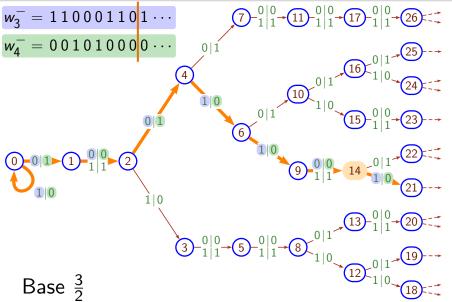


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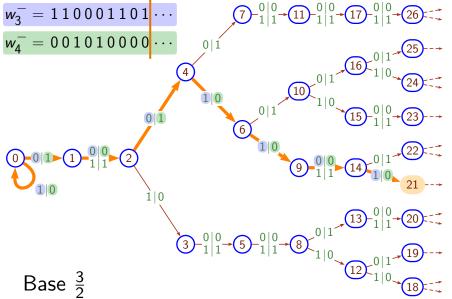


Figure: $\mathcal{D}_{\frac{3}{2}}$, the infinite transducer realising ξ in base $\frac{3}{2}$



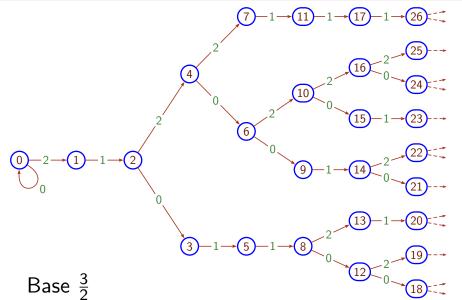
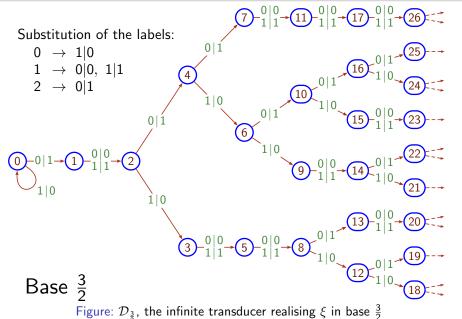


Figure: The infinite automaton $\mathcal{T}_{\frac{3}{2}}$



Proposition

If
$$p = 2q - 1$$
,

- the labels of the transitions of $D_{\frac{p}{q}}$ and $T_{\frac{p}{q}}$ are identical; the labels of the transitions of $D_{\frac{p}{q}}$ are obtained by an (injective) substitution from those of $T_{\frac{p}{a}}$.

Proposition

If
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,

- the underlying graph of $D_{\frac{p}{q}}$ and $T_{\frac{p}{q}}$ are identical; the labels of the transitions of $D_{\frac{p}{q}}$ are obtained by an (injective) substitution from those of $T_{\frac{p}{a}}$.

Theorem

The structure of $D_{\underline{\rho}}$ is "very close" to the one of $\mathcal{T}_{\underline{\rho}}$.

New alphabet: $B_{p,q} = \{p - (2q - 1), \dots, p - 1\}$:

- $B_{p,q}$ always has (2q-1) consecutive elements
- The maximal element of A_p and $B_{p,q}$ are the same.

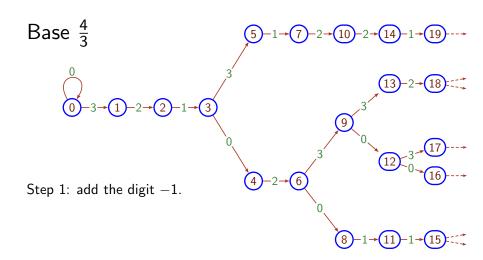
- New alphabet: $B_{p,q}=\{p-(2q-1),\ldots,p-1\}$:
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 - if p = (2q 1), $A_p = B_{p,q}$
 - if p < (2q 1), (we say that the base $\frac{p}{q}$ is "small") • $A_p \subseteq B_{p,q}$
 - Negative digits are added to A_D
 - if p > (2q 1), (we say that the base $\frac{p}{q}$ is "big")
 - $A_p \supseteq B_{p,q}$
 - Smallest digits of A_p are removed.

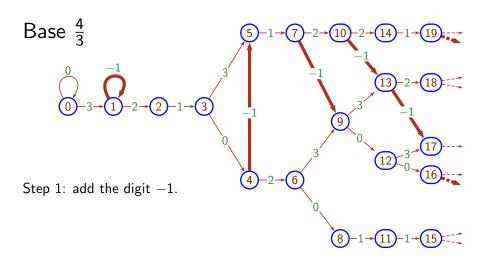
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Obtained automaton: $\widehat{\mathcal{T}}_{rac{p}{q}}$

$$\forall a \in \mathbf{B}_{p,q} \quad \forall n, m \in \mathbb{N} \quad n \xrightarrow{a} m \iff np + a = qm$$





$$\sigma: \ B_{p,q} \ \longrightarrow \ \mathbb{P}(A_q \times A_q)$$

$$b \ \longmapsto \ \Big\{ \ (a \mid a') \in A_q \times A_q \ \Big| \ (a'-a) = \underbrace{b - (p-q)}_{b-q} \ \Big\}$$
signed distance to the center of $B_{p,q}$

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Eg., in base $\frac{4}{3}$, the substitution is:

$$\sigma: -1 \mapsto \left\{ \begin{array}{l} 2 \mid 0 \end{array} \right\} \\ 0 \mapsto \left\{ \begin{array}{l} 1 \mid 0, 2 \mid 1 \end{array} \right\} \\ 1 \mapsto \left\{ \begin{array}{l} 0 \mid 0, 1 \mid 1, 2 \mid 2 \end{array} \right\} \\ 2 \mapsto \left\{ \begin{array}{l} 0 \mid 1, 1 \mid 2 \end{array} \right\} \\ 3 \mapsto \left\{ \begin{array}{l} 0 \mid 2 \end{array} \right\}$$

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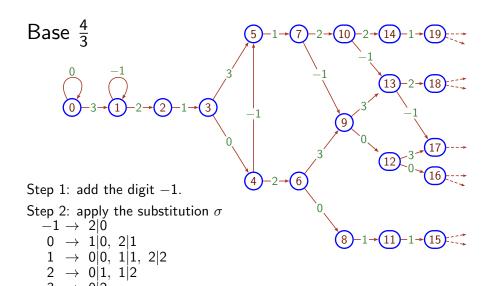
$$0 \mapsto \left\{ \begin{array}{c} 1 \mid 0, 2 \mid 1 \end{array} \right\}$$

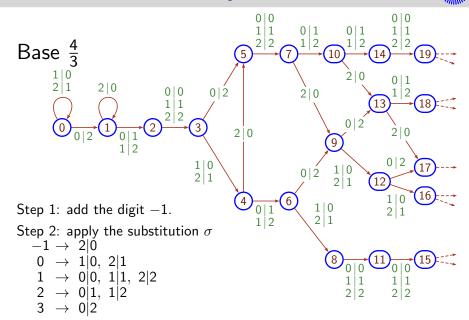
$$1 \mapsto \left\{ \begin{array}{c} 0 \mid 0, 1 \mid 1, 2 \mid 2 \end{array} \right\}$$

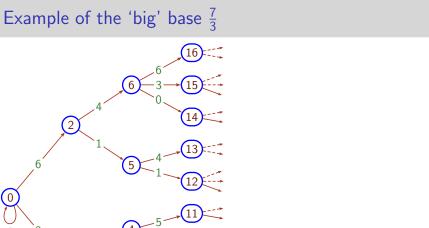
$$2 \mapsto \left\{ \begin{array}{c} 0 \mid 1, 1 \mid 2 \end{array} \right\}$$

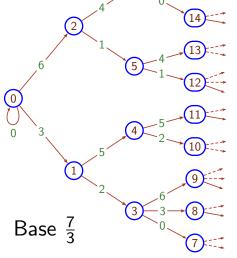
$$3 \mapsto \left\{ \begin{array}{c} 0 \mid 2 \end{array} \right\}$$

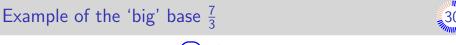
- $B_{4,3} = \{-1,0,1,2,3\}$
- The center is 1
- \Rightarrow '0' is equal to the center -1
- $\Rightarrow \sigma(0) = \{ \text{ pairs of the }$ form $(a | a 1) \}$

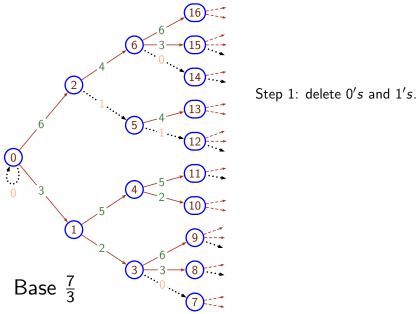






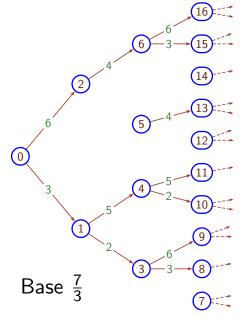










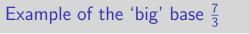


Step 1: delete 0's and 1's.

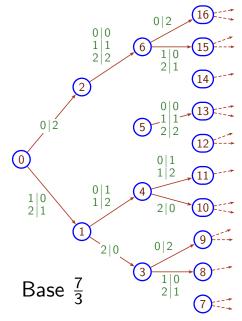
Step 2: apply the substitution σ : 2 \rightarrow 2|0

$$4 \rightarrow 0|0, 1|1, 2|2$$

$$5 \rightarrow 0|1, 1|2$$







Step 1: delete 0's and 1's.

Step 2: apply the substitution σ :

$$\begin{array}{ccc} 2 & \rightarrow & 2|0 \\ 3 & \rightarrow & 1|0, \ 2|1 \end{array}$$

$$4 \rightarrow 0|0, 2|1$$

$$5 \rightarrow 0|1, 1|2$$

$$6 \rightarrow 0|2$$

Overview

The label of the current state of $\mathcal{D}_{\frac{p}{q}}$ is the current difference between input and output.

Lemma

n, m, i, k: four node/integers

a, b: letters of A_q

$$\left. \begin{array}{l} w_n^- = a \, w_m^- \\ i \stackrel{a|b}{\longrightarrow} k \quad \text{in } \mathcal{D}_{\frac{p}{q}} \end{array} \right\} \implies w_{n+i+1}^- = b \, w_{m+k+1}^-$$

remaining input remaining output

At state i: $w_n^ w_{n+i+1}^-$ At state k: $w_m^ w_{m+k+1}^-$

- 1 Rational base numeration systems
- 2 Infinitary perspective
- 3 The successor function on minimal words
- 4 Span of nodes
 - Renormalisation
 - Topological property of renormalised spans
 - Span-words

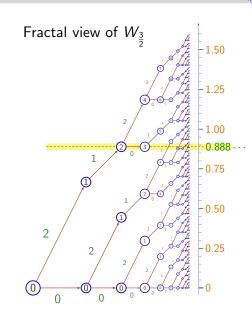
Reminder

$$\rho_{\frac{p}{q}}(a_1 a_2 \cdots a_k \cdots) = \sum_{k \ge 1} \frac{a_k}{q} \left(\frac{p}{q}\right)^{-k}$$

$$\rho_{\frac{p}{q}}(a_1a_2\cdots a_k) = \rho_{\frac{p}{q}}(a_1a_2\cdots a_k0^{\omega})$$

Eg:
$$\rho_{\frac{3}{2}}(21) = 2\frac{1}{2}\frac{2}{3} + 1\frac{1}{2}\frac{4}{9}$$

 $= 0.888 \cdots$
 $\rho_{\frac{3}{2}}(210) = \rho_{\frac{p}{q}}(21)$
 $= 0.888 \cdots$

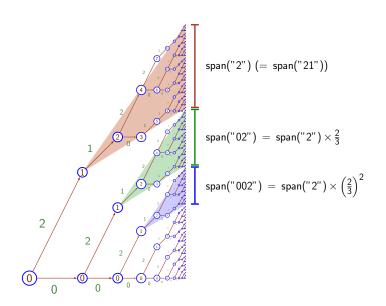




Definition – span of the node X

The length of the interval reachable from X in the tree.





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Problems

- Spans decrease exponentially with depth.
- Only finitely many spans are above any positive bound.

Renormalised Span



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the span of X multiplied by $\left(\frac{p}{q}\right)^k$, where k is the depth of X.

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Notation

rspan(n): the renormalised span of any node labelled by n. $S_{\frac{p}{a}}$ denotes the set of the renormalised span of every node.

Theorem

- If $p \leq 2q-1$, $\rho_{\frac{p}{q}}(S_{\frac{p}{q}})$ is dense. If p > 2q-1, $\rho_{\frac{p}{q}}(S_{\frac{p}{q}})$ is nowhere dense.

Definition

We call **span-word** of *n* the word $(w_n^+ \ominus w_n^-)$ where

- where w_n^+ is the *maximal* word starting from n
- and " \ominus " denotes the digit-wise subtraction. (Example : $321 \ominus 012 = 31(-1)$)

Span words

Definition

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Properties

- The renorm. span of n is the evaluation of its span-word: $\forall n \in \mathbb{N} \quad \mathtt{rspan}(n) = \rho_{\frac{p}{a}}(w_n^+ \ominus w_n^-)$.
- Span-words belong to $B_{p,q}^{\ \omega}$.

Proposition

$$\widehat{\mathcal{T}_{\underline{P}}}$$
 accepts the topological closure of the language of the span-words.

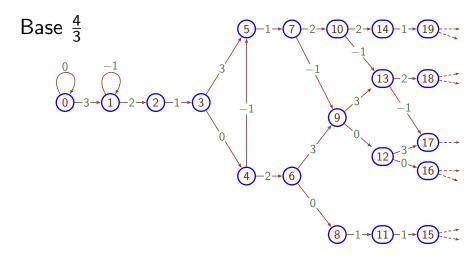
Proof consists in simple arithmetic calculation.

Reminder: $\widehat{\mathcal{T}}_{\frac{p}{q}}$ is a intermediary step of the construction $\mathcal{T}_{\frac{p}{q}} o \mathcal{D}_{\frac{p}{q}}$.

- If $p \leq (2q-1)$ digits are added to $\mathcal{T}_{\frac{p}{q}}$, possibly none.
- If p>(2q-1) at least one digit is removed from $\mathcal{T}_{\frac{p}{q}}$.

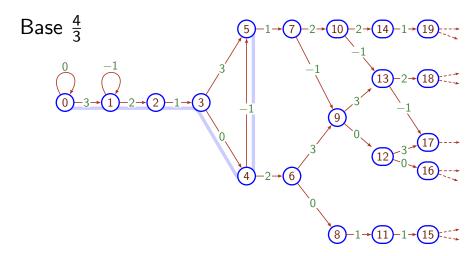


Adding the digit -1 to $\mathcal{T}_{\frac{4}{3}}$



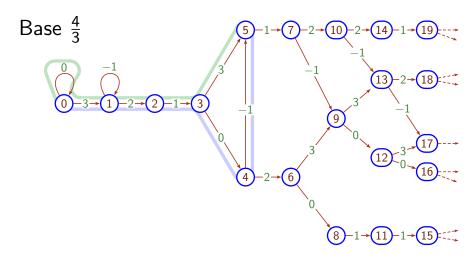


Adding the digit -1 to $\mathcal{T}_{\frac{4}{2}}$



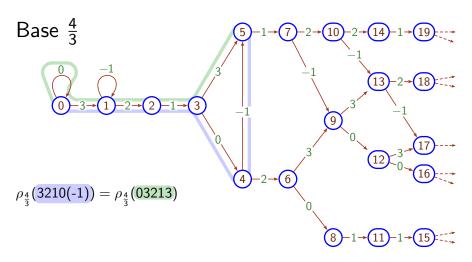


Adding the digit -1 to $\mathcal{T}_{\frac{4}{9}}$



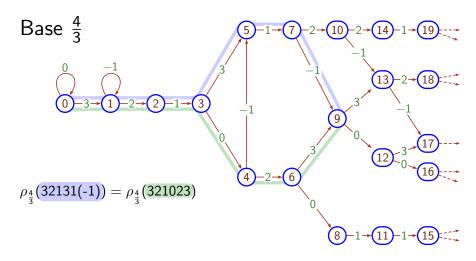


Adding the digit -1 to $\mathcal{T}_{\frac{4}{2}}$



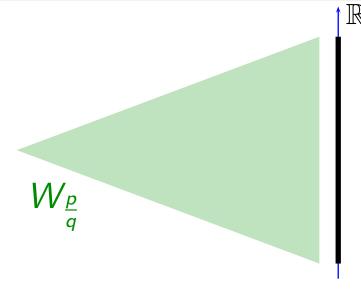


Adding the digit -1 to $\mathcal{T}_{\frac{4}{2}}$



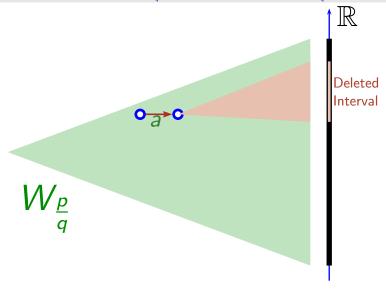


Deleting every letter a from $\mathcal{T}_{\frac{p}{q}}$ hence from the fractal tree $W_{\frac{p}{q}}$



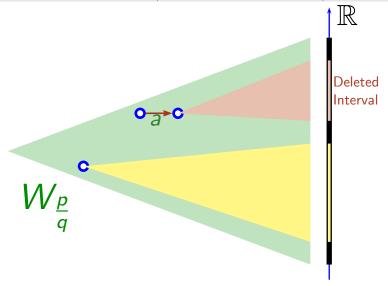
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Deleting every letter a from $\mathcal{T}_{\frac{p}{a}}$ hence from the fractal tree $W_{\frac{p}{a}}$



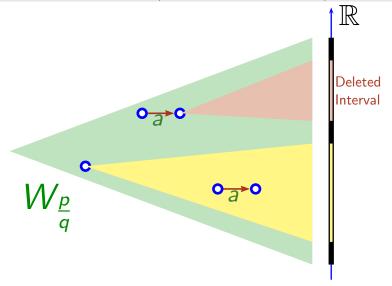


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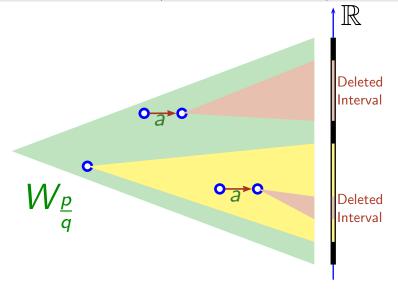


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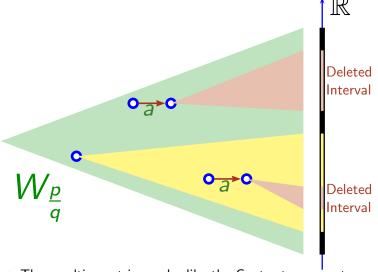


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 \Rightarrow The resulting set is much alike the Cantor ternary set.

Conclusion and future work

- $\mathcal{D}_{\frac{p}{q}}$ somehow requires the same structure as the original tree $\mathcal{T}_{\underline{p}}$.
- The topological properties of the set of renorm. spans divides the rational base number systems in two classes.
- The cases p = 2q 1 is remarkable in both constructions.

Next question

For a given integer n, is there a *finite* transducer realising $w_n^- \mapsto w_{n+1}^-$?