

# Ultimate periodicity of b-recognisable sets: a quasilinear procedure

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# Outline

1 Introduction

2 The Pascal automata or the strongly connected case

3 The general case

4 Conclusion and Future work

## Integer base

- base  $b \geq 2$
- alphabet  $A_b = \{0, 1, \dots, b - 1\}$

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 $\text{"001"} \qquad \qquad \qquad \text{"011"}$

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Example : binary system -  $\text{"100"} \xleftarrow[\text{base 2}]{} 4; \quad \text{"110"} \xleftarrow[\text{base 2}]{} 6;$   
                           "001"                          "011"

$S \subseteq \mathbb{N}$  is  $b$ -rational

- automaton  $\mathcal{A}$
- $L(\mathcal{A}) \xleftrightarrow[\text{base } b]{} S$

# Ultimately periodic sets

## Definition: the class (UP)

An integer set  $S$  belongs to (UP) if there exists

- $m$ : preperiod
- $p$ : period
- $R$ : remainder set

such that  $\forall n > m, \quad n \in S \iff n \bmod p \in R.$

## Properties

- (UP) is stable by union and intersection.
- Every finite set belongs to (UP).

## Theorem

Ultimately Periodic (UP)  $\implies b$ -rational

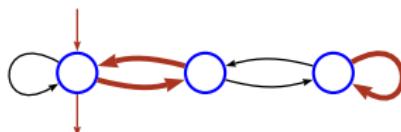


Figure: automaton accepting integers congruent to 0 modulo 3

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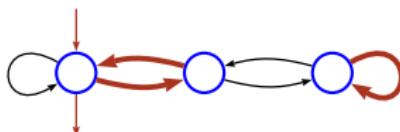


Figure: automaton accepting integers congruent to 0 modulo 3

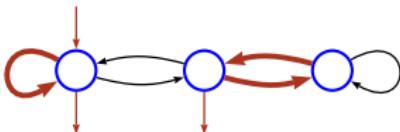


Figure: automaton accepting integers congruent to 0 or 1 modulo 3

# State of the art

## Theorem

Ultimately Periodic (UP)  $\implies$   $b$ -rational

## Fact

$b$ -Rat  $\not\implies$  (UP)

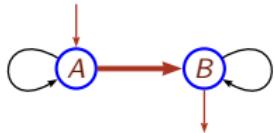


Figure: automaton accepting the powers of 2

# State of the art

## Theorem

Ultimately Periodic (UP)  $\implies$   $b$ -rational

## Theorem (Cobham, 1969)

- $S$   $b_1$ -rational
  - $S$   $b_2$ -rational
  - $b_1$  and  $b_2$  multiplicatively independent
- $\left. \right\} \implies S \in (\text{UP})$

## Corollary

$$(\text{UP}) = \bigcap_{b \in \mathbb{N}} b\text{-Rat}$$

## ULTIMATE-PERIODICITY

PARAMETER :

- a base  $b$

INPUT :

- a deterministic automaton  $\mathcal{A}$

OUTPUT :

- Does  $L(\mathcal{A}) \in (\text{UP})$  ?

# Problem

## ULTIMATE-PERIODICITY

PARAMETER :

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Theorem (Honkala, 1986)

ULTIMATE-PERIODICITY is decidable.

## Two orthogonal generalisations

Theorem (Leroux, 2005)

Semi-Linear( $\mathbb{N}^k$ ) is decidable in  $b\text{-Rat}(\mathbb{N}^k)$  in P-TIME.

- Quadratic complexity
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### Other proof of Honkala's Theorem (ARS, 2009)

- '+' is a  $b$ -rational relation.
- The class ( $UP$ ) is definable by a Presburger formula.
- Presburger arithmetic is decidable

- Exponential complexity

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### Generalisation of this method (CRS, 2012)

ULTIMATE-PERIODICITY is decidable for Pisot U-systems.

# Contribution

## Theorem

$\mathcal{A}$ : a minimal deterministic automaton.

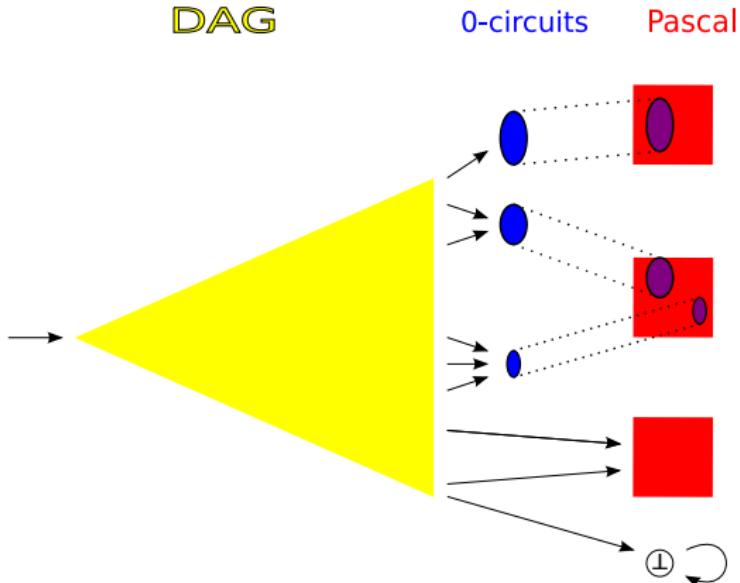
It is decidable in linear time whether  $L(\mathcal{A})$  is (UP).

## Corollary

ULTIMATE-PERIODICITY is solvable in quasilinear<sup>†</sup> time.

<sup>†</sup>In  $O(k + n \log n)$ ,  $n$  being the number of states and  $k$  the number of transitions.

# The UP-criterion



## The UP-criterion (2)

### Proposition

$\mathcal{A}$ : a minimal DFA.

$$\mathcal{A} \text{ satisfies the UP-criterion} \iff L(\mathcal{A}) \text{ is (UP).}$$

### Proposition

It is decidable in linear time whether

an automaton satisfies the UP-criterion.

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# The Pascal automaton $\mathcal{P}_p^R$

## Parameters

- $(b : \text{the base})$
- $p : \text{a period, coprime with } b.$
- $R : \text{a set of remainders modulo } p.$

## Expected behaviour

$$u \in A_b^* \text{ accepted by } \mathcal{P}_p^R \iff \pi(u) \equiv r [p], r \in R.$$

## The Pascal automaton $\mathcal{P}_p^R$ (2)

LSDF Representation yields:

- $\pi(ua) = \pi(u) + a b^{|u|}$
- let  $\psi$  be the smallest integer s.t.  $b^\psi \equiv 1 [p]$
- $b^k \equiv b^{(k \bmod \psi)}[p]$

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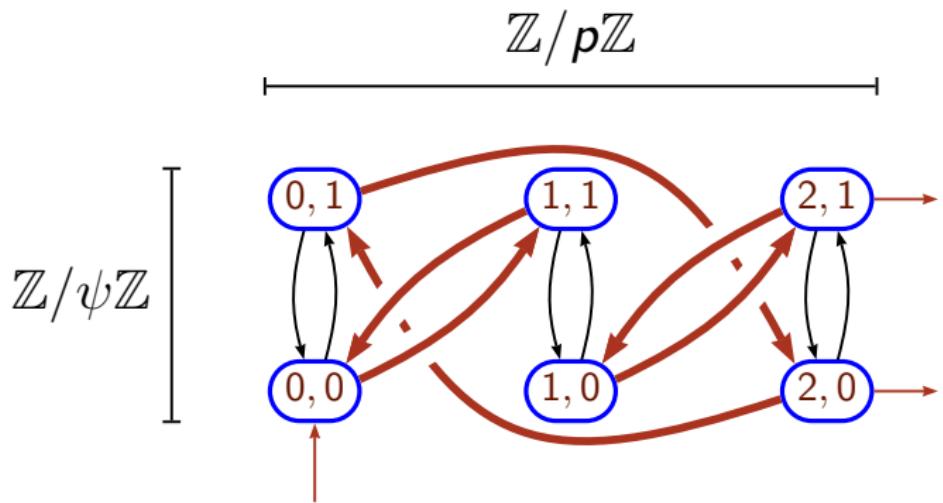
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- States:  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/\psi\mathbb{Z}$ 

$\pi(u) \bmod p$ 
 $\uparrow$ 
 $\uparrow$ 
 $|u| \bmod \psi$
- Transitions:  $(r, s) \xrightarrow{a} (r + ab^s, s + 1)$
- Initial state:  $(0, 0)$
- Final states:  $R \times \mathbb{Z}/\psi\mathbb{Z}$

Example:  $\mathcal{P}_3^{\{2\}}$ 

- $(b = 2)$
- $p = 3$
- $\psi = 2$  (since  $2^2 \equiv 1 [3]$ )



## Lemma

$\mathcal{P}_p^R$  is deterministic and co-deterministic.

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## Lemma (isotropism)

Changing the initial state of a Pascal automaton  $\mathcal{P}_p^R$  yields a Pascal automaton  $\mathcal{P}_p^S$  with the same period  $p$  but a different remainder  $S$ .

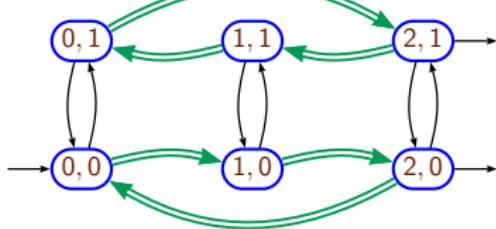
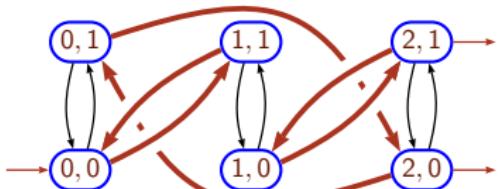
# The “good” generator $g$

## Definition

Fresh letter  $g = 10^{-1}$

## Remark

The digit 1 is equivalent to  $g0$ .



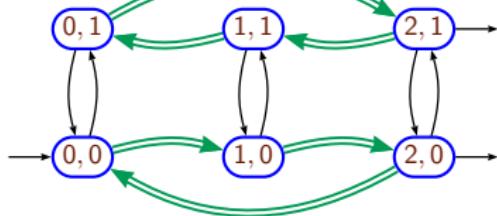
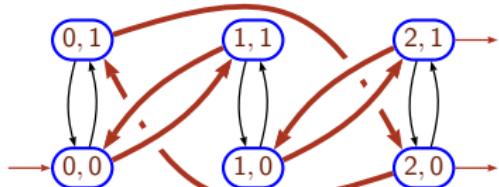
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A digit  $d$  is equivalent to  $\underbrace{g \cdots g}_d 0$ .



## Theorem

It is decidable in linear time whether  
an automaton  $\mathcal{A}$  is the quotient of a Pascal automaton.

**Input:** an automaton  $\mathcal{A}$ .

**Output:** Is  $\mathcal{A}$  the quotient of a Pascal automaton?

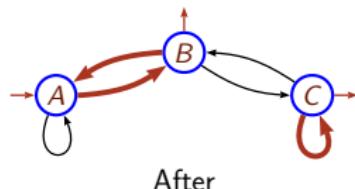
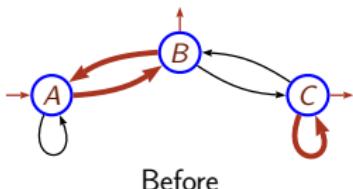
## Outline of the algorithm

- Step 1 – Simplifications : changing the alphabet
- Step 2 – Computation of the parameters ( $p$ ,  $R$ , etc)
- Step 3 – Verification

## Step 1 – Simplifications

Changing the alphabet of  $\mathcal{A}$ :  $\{0, 1\} \rightarrow \{0, g\}$

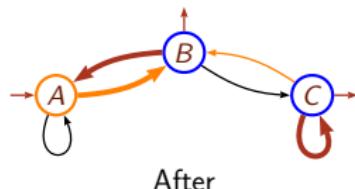
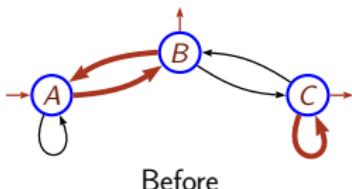
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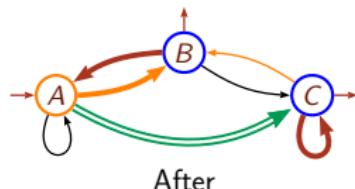
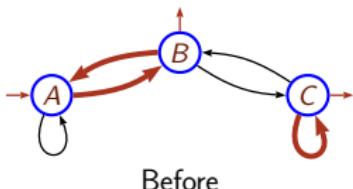
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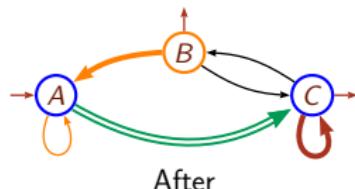
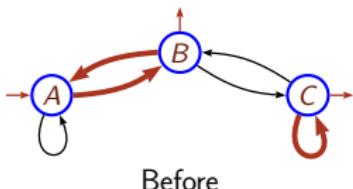
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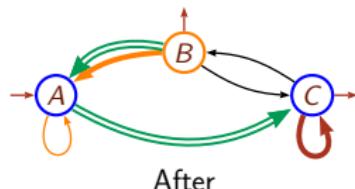
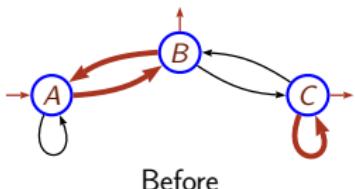
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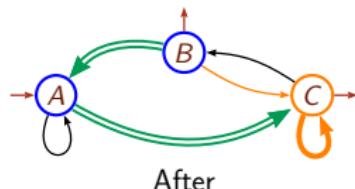
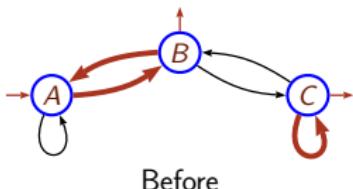
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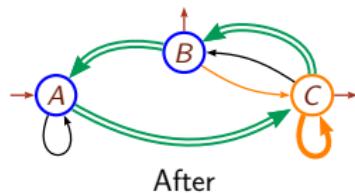
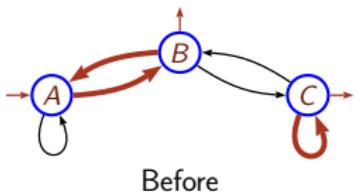
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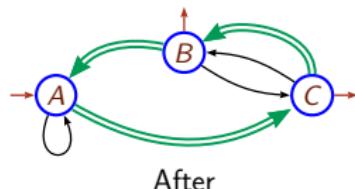
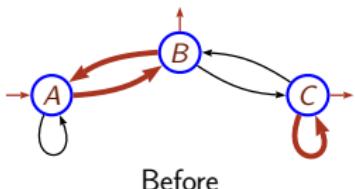
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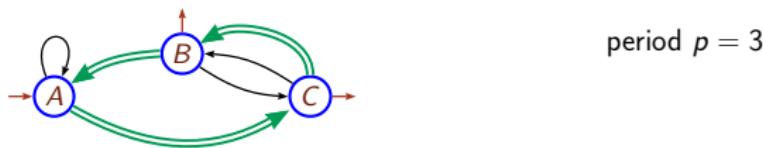
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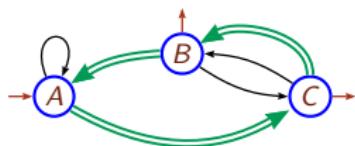
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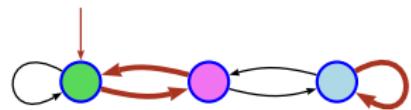
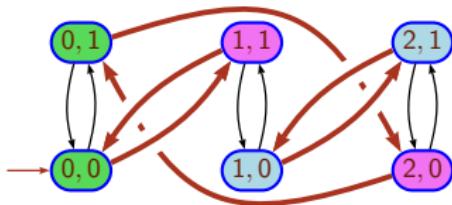
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- The remainder set  $R$  contains  $r$  iff  $g^r$  is accepted by  $\mathcal{A}$ .



period  $p = 3$   
remainder set  $R = \{1, 2\}$



Definition: Characteristic parameter of a quotient

It is the smallest state merged with the initial state.

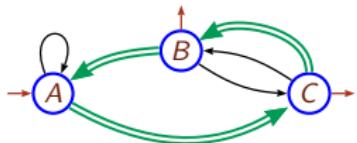
In the example, it is  $(0, 1)$ .

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- The characteristic parameter  $(s, t)$  of the quotient is def. by

$$i \xrightarrow{g^s} \xrightarrow{0^t} i$$



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## Step 3 – Verifications

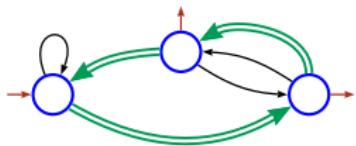
18

Use the previous computed parameters to build *the quotient of  $\mathcal{P}_p^R$  associated with  $(s, t)$* .

- state set :  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/t\mathbb{Z}$        $3 \times 1 = 3$  states
- transitions:

$$(x, z) \xrightarrow{0} (x, z + 1) \quad \text{if } z < t - 1$$
$$(x, z) \xrightarrow{0} \left(\frac{x-s}{b^t}, 0\right) \quad \text{if } z = t - 1$$

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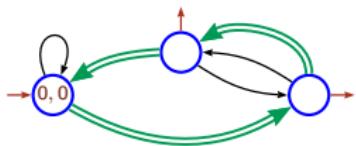
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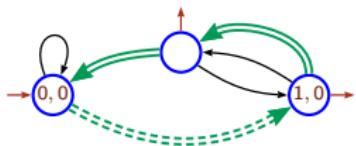
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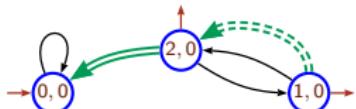
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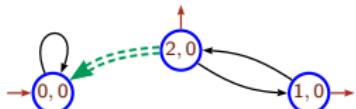
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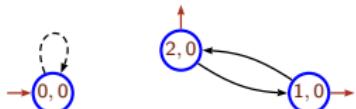
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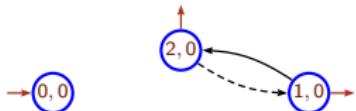
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Use the previous computed parameters to build *the quotient of  $\mathcal{P}_p^R$*  associated with  $(s, t)$ .

- state set :  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/t\mathbb{Z}$        $3 \times 1 = 3$  states
- transitions:

$$(x, 0) \xrightarrow{0} (2x, 0)$$

$$(x, 0) \xrightarrow{g} (x + 1, 0)$$



period  $p = 3$   
 remainder set  $R = \{1, 2\}$   
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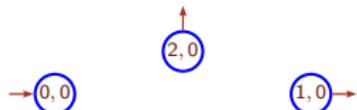
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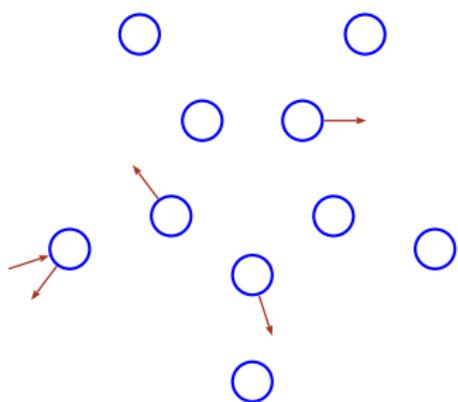
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Another example for base  $b = 3$

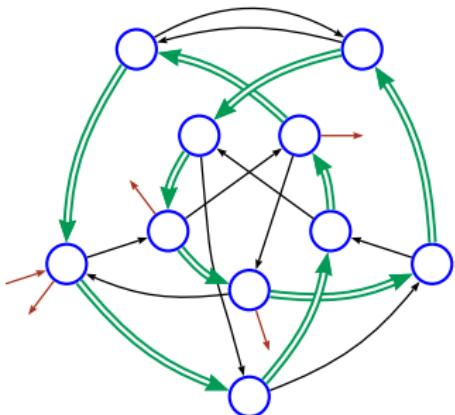
19



## Step 1 – Simplifications

Changing the alphabet of  $\mathcal{A}$ :  $\{0, 1, 2\} \longrightarrow \{0, g\}$

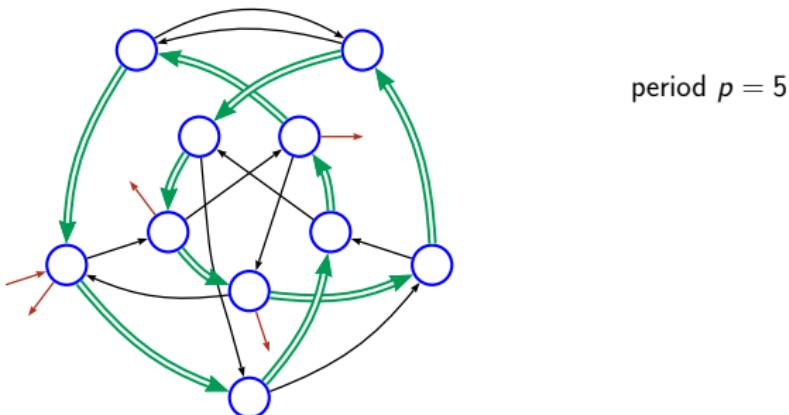
- 1 Remove every transition labelled neither by 0 nor 1.
- 2 Replace every transition by 1 by one labelled by  $g = 10^{-1}$ .



### Proposition

If  $\mathcal{A}$  is quotient of the Pascal automaton  $\mathcal{P}_p^R$ ; then

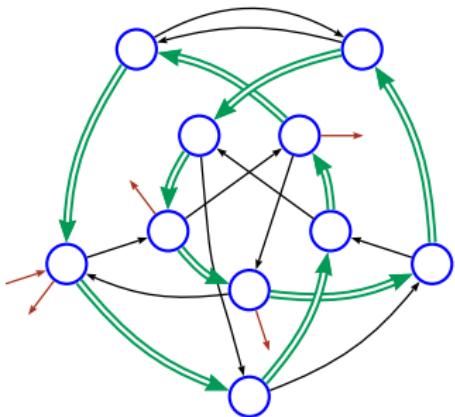
- The letter  $g$  induces in  $\mathcal{A}$  cycles of length  $p$ .



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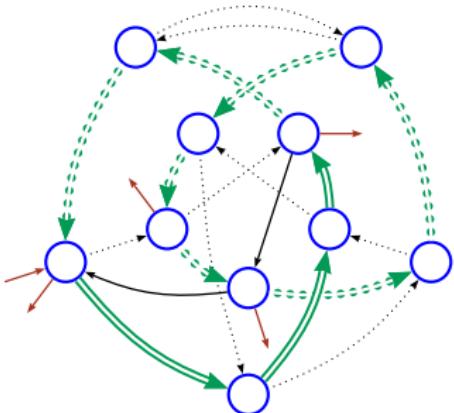
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- The characteristic parameter  $(s, t)$  of the quotient is def. by

$$i \xrightarrow{g^s} \xrightarrow{0^t} i$$



period  $p = 5$   
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 parameter  $(s, t) = (3, 2)$

## Step 3 – Verifications

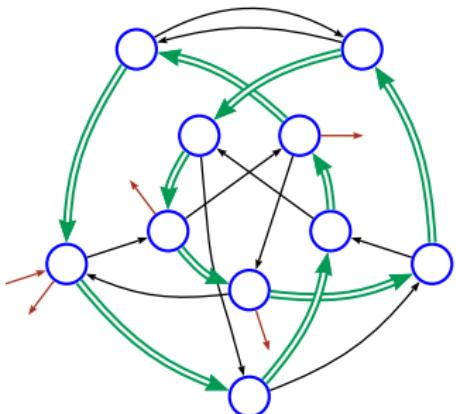
■ state set :  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/t\mathbb{Z}$        $5 \times 2 = 10$  states

■ transitions:

$$(x, z) \xrightarrow{0} (x, z + 1) \quad \text{if } z < t - 1$$

$$(x, z) \xrightarrow{0} \left(\frac{x-s}{b^t}, 0\right) \quad \text{if } z = t - 1$$

$$(x, z) \xrightarrow{g} (x + b^z, z)$$



period  $p = 5$   
 remainder set  $R = \{0, 3\}$   
 parameter  $(s, t) = (3, 2)$

$$\frac{1}{b^t} \bmod p = \frac{1}{9} \bmod 5 = 4$$

$$\frac{x-s}{b^t} = 3 - x$$

## Step 3 – Verifications

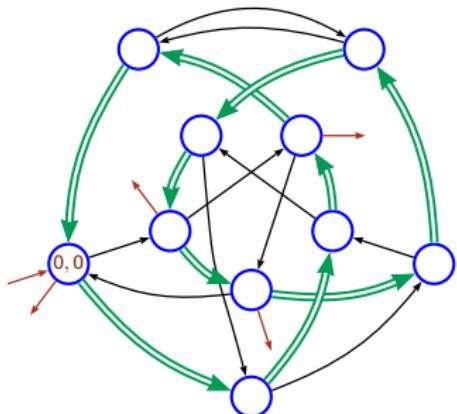
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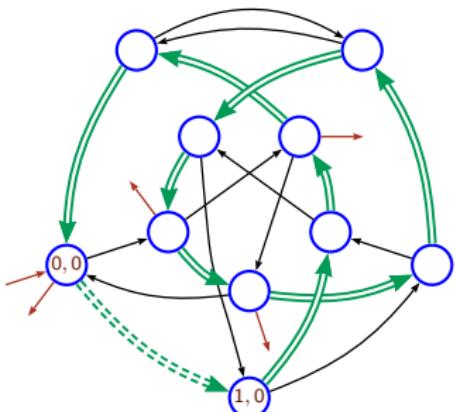
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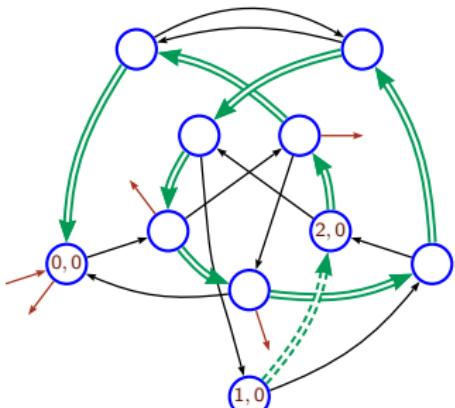
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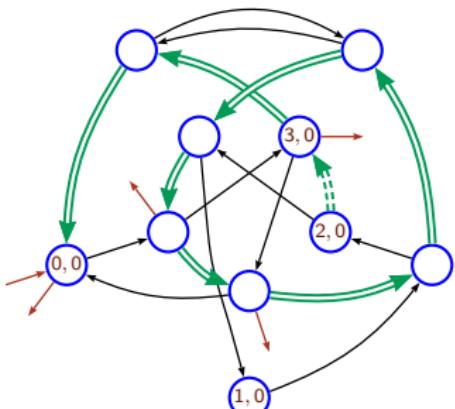
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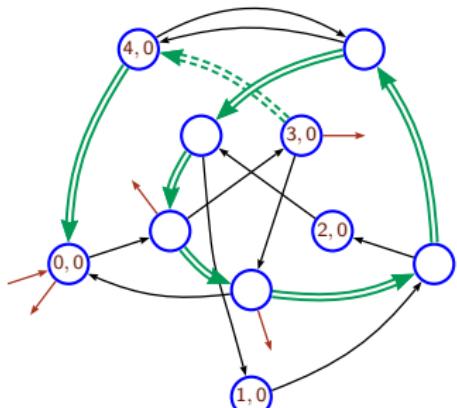
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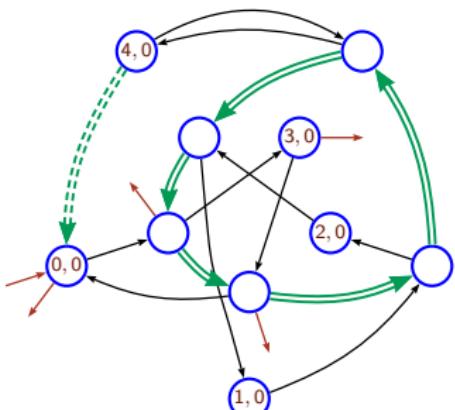
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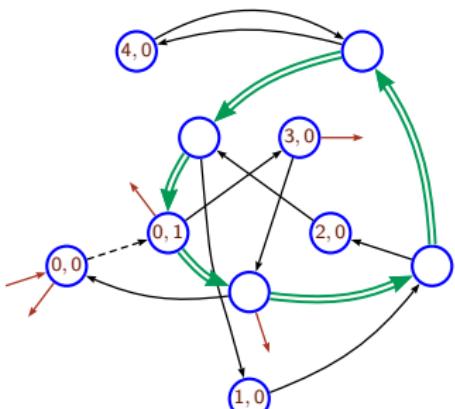
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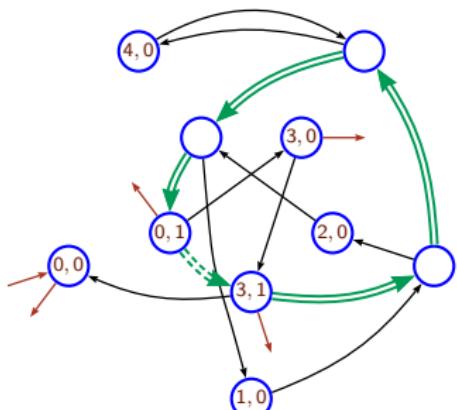
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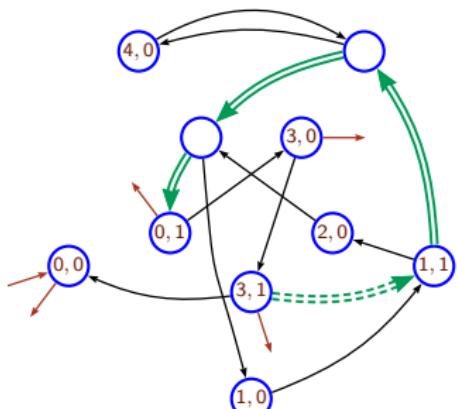
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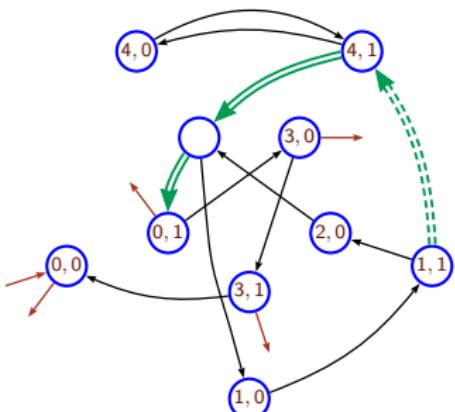
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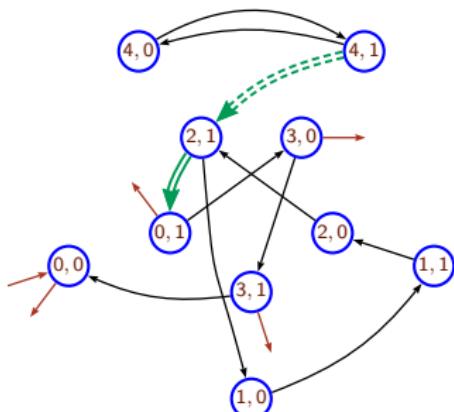
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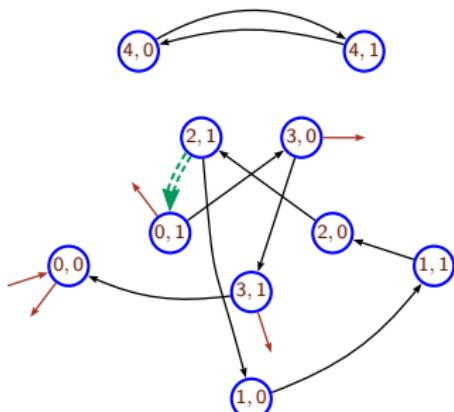
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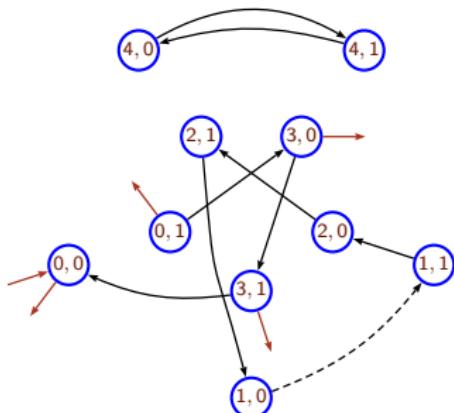
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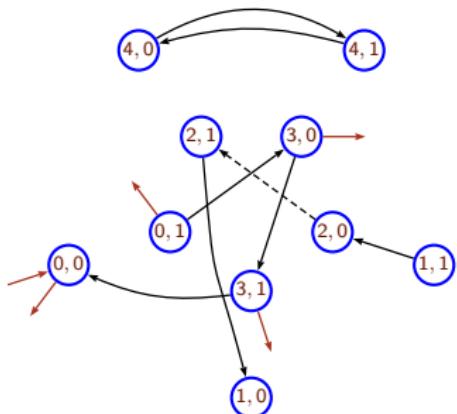
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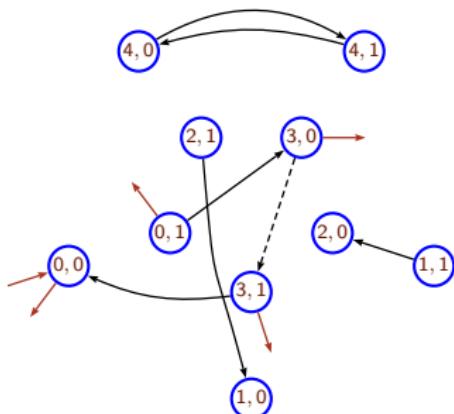
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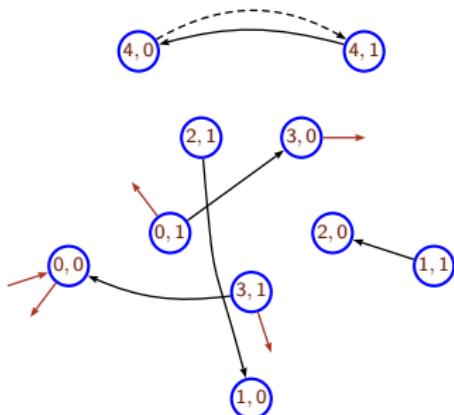
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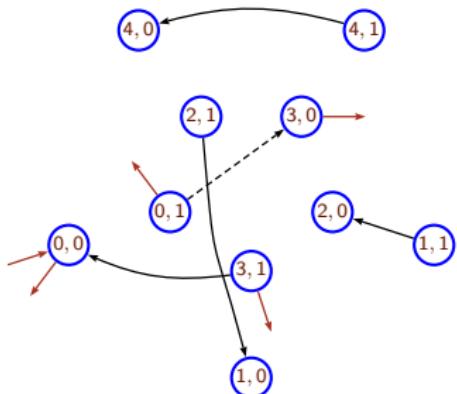
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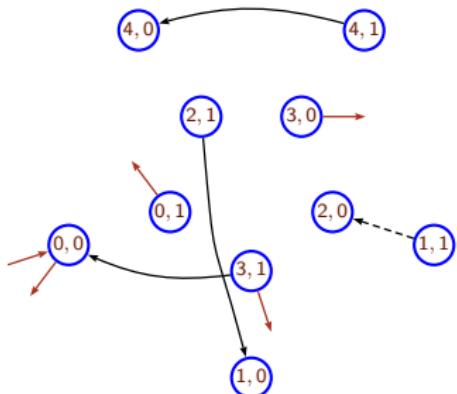
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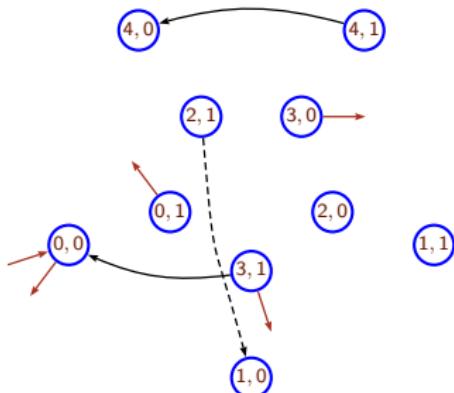
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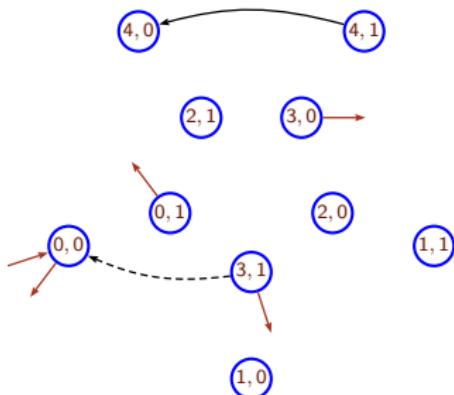
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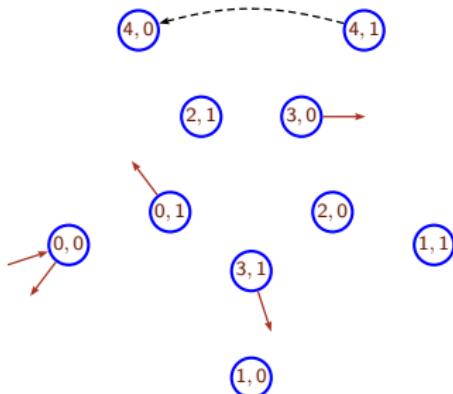
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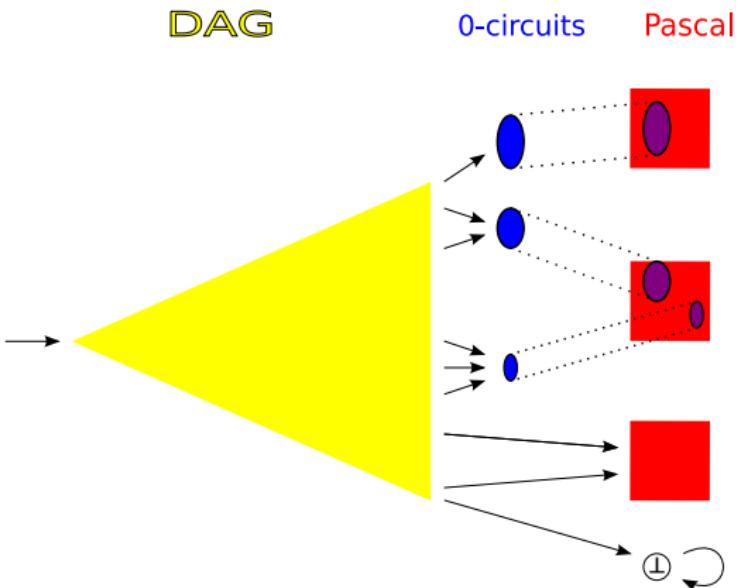
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parameter  $(s, t) = (3, 2)$

$$\begin{aligned} \frac{1}{b^t} \bmod p &= \frac{1}{9} \bmod 5 = 4 \\ \frac{x-s}{b^t} &= 3 - x \end{aligned}$$

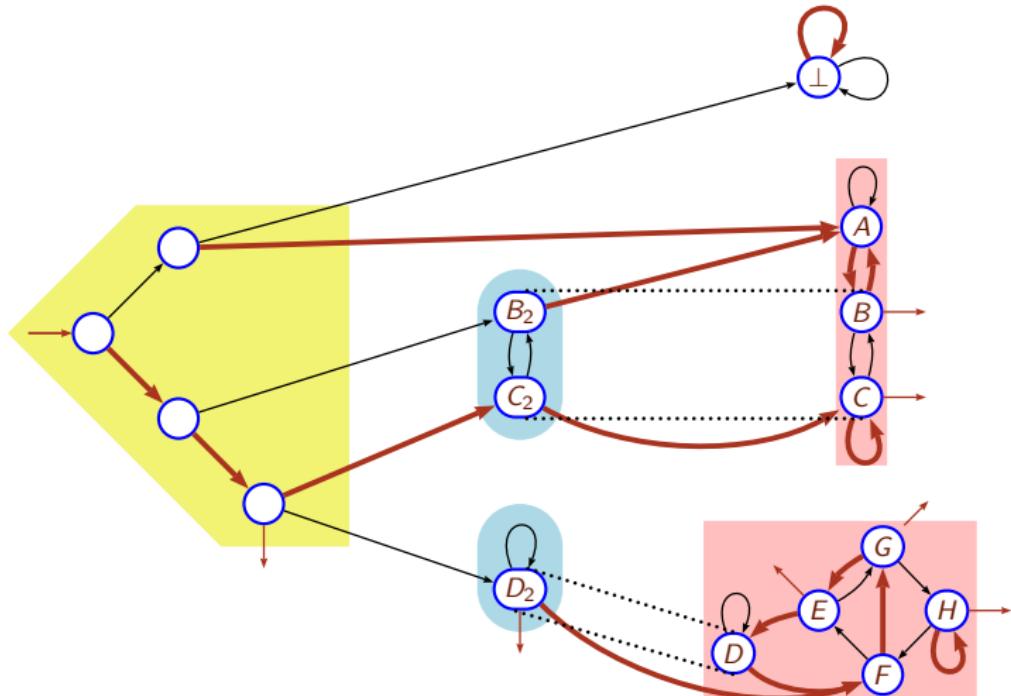
# Outline

- 1 Introduction
- 2 The Pascal automata or the strongly connected case
- 3 The general case
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# An automaton satisfying the UP-criterion

24



## Theorem (Linearity)

It can be decided in linear time whether  
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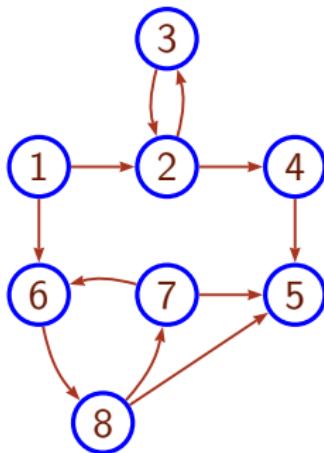
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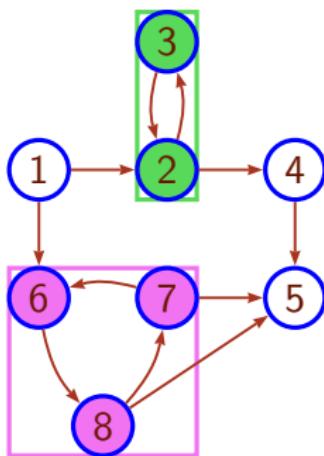
## Linear Complexity (1) – Condensation

26

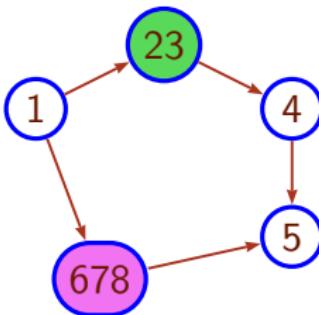


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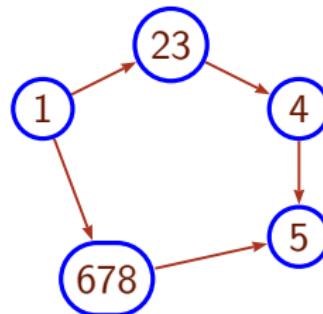
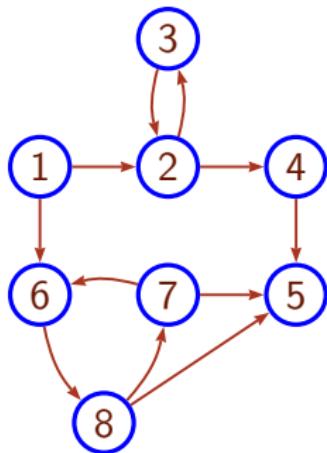


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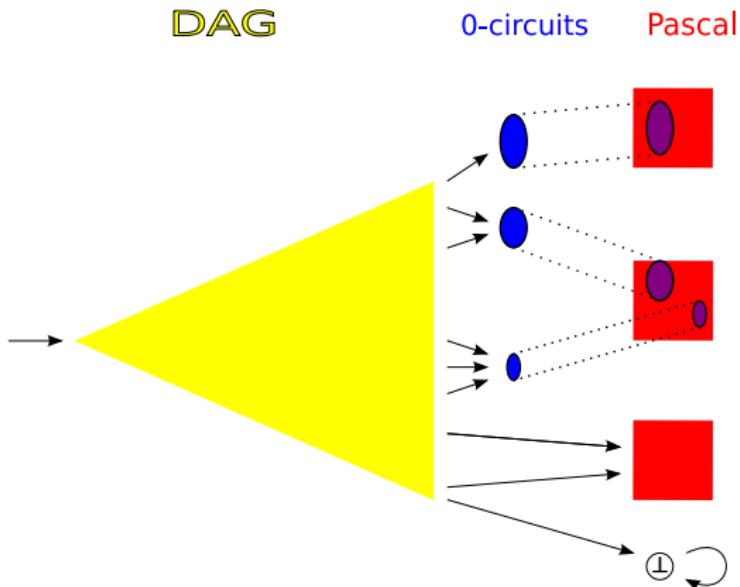
26



Algorithm (Tarjan, 1972)

Computing the condensation of a graph takes linear time.

## Linear Complexity (2)



Verifying that an automaton satisfies the UP-criterion consists in a simple browsing of the automaton and its condensation.

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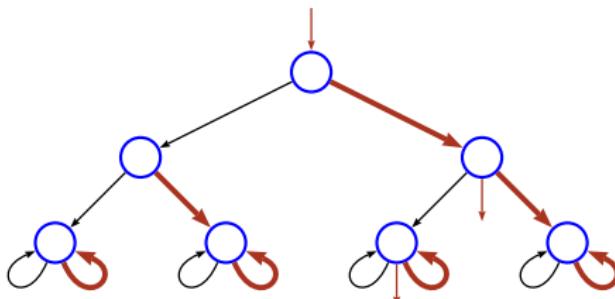
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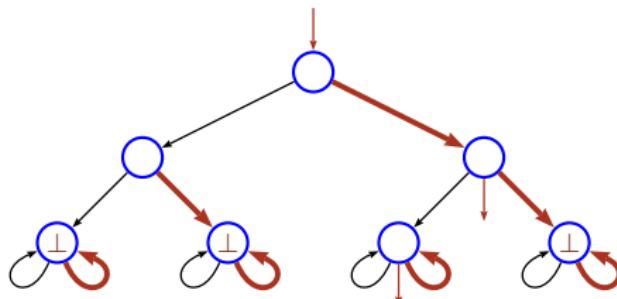
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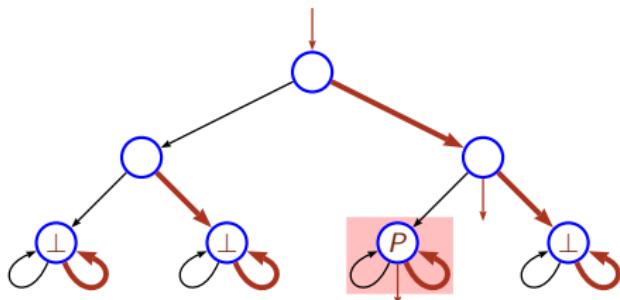
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Chinese remainder theorem

$$n \equiv r \bmod (k \times b^i) \iff \begin{cases} n \equiv r_k \bmod k \\ n \equiv r_d \bmod b^i \end{cases}$$

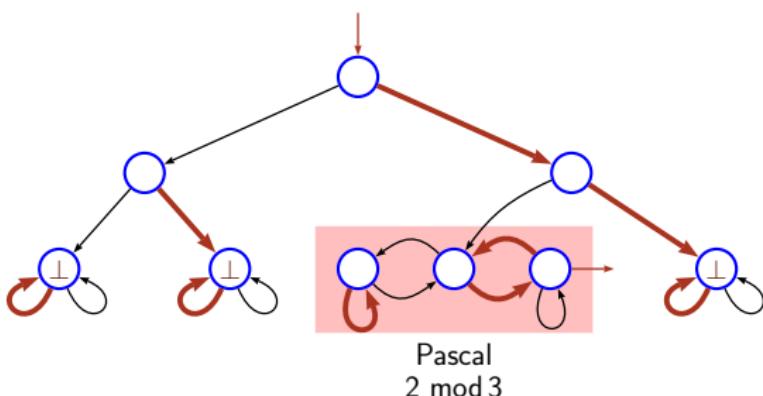
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Example: Automaton accepting integers  $5 \bmod 12$

$$n \equiv 5 \bmod (3 \times 2^2) \iff \begin{cases} n \equiv 2 \bmod 3 \\ n \equiv 1 \bmod 4 \end{cases}$$



## Completeness – $R \bmod (k \times b^i)$

Automaton accepting integers  $0, 5, 8 \bmod 12$

$$0 \bmod (3 \times 4) \iff 0 \bmod 4 \text{ and } 0 \bmod 3$$

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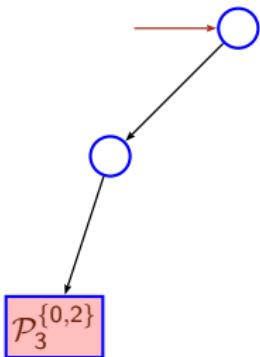
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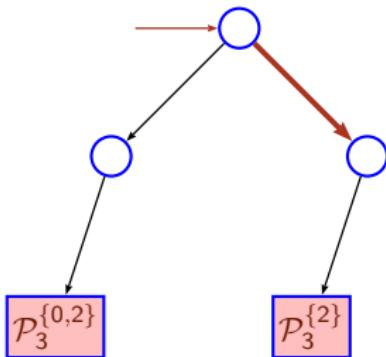
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Completeness –  $R \text{ mod } (k \times b^i)$ 

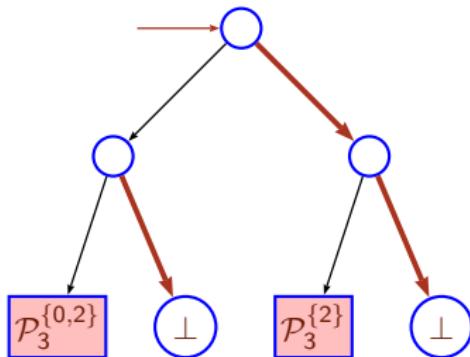
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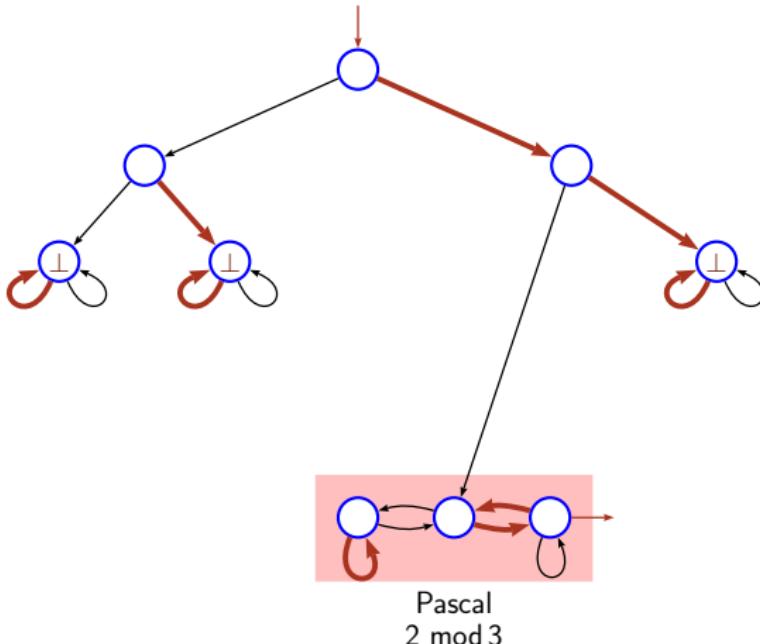
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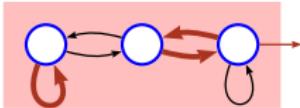
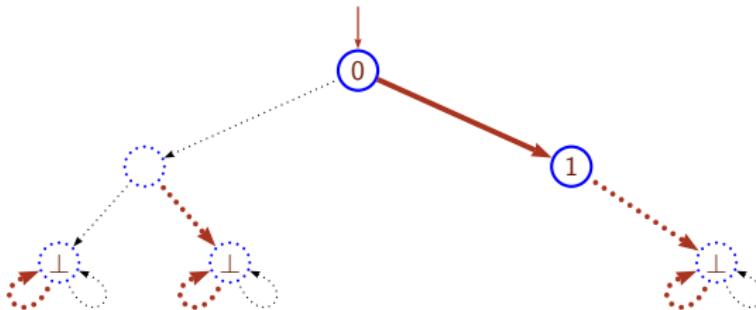
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Automaton accepting integers  $n > 5$  and  $n \equiv 5 \pmod{(3 \times 2^2)}$



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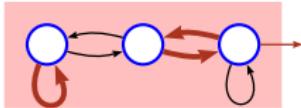
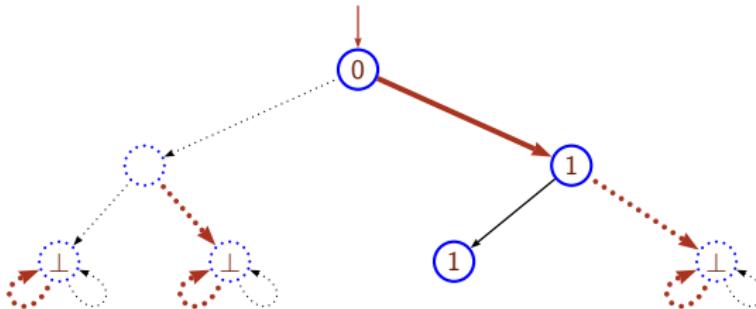
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Pascal  
 $2 \bmod 3$

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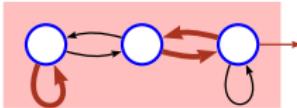
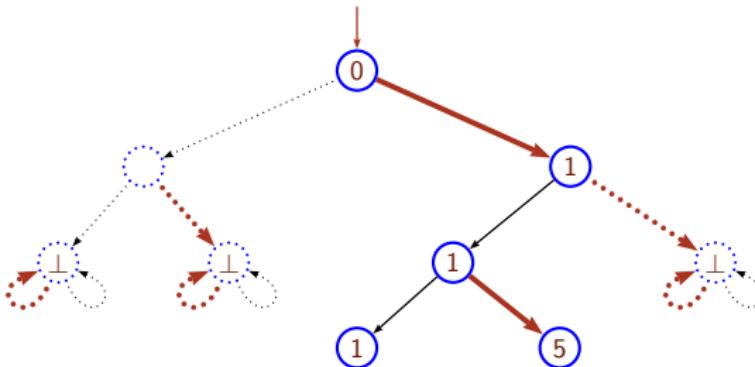
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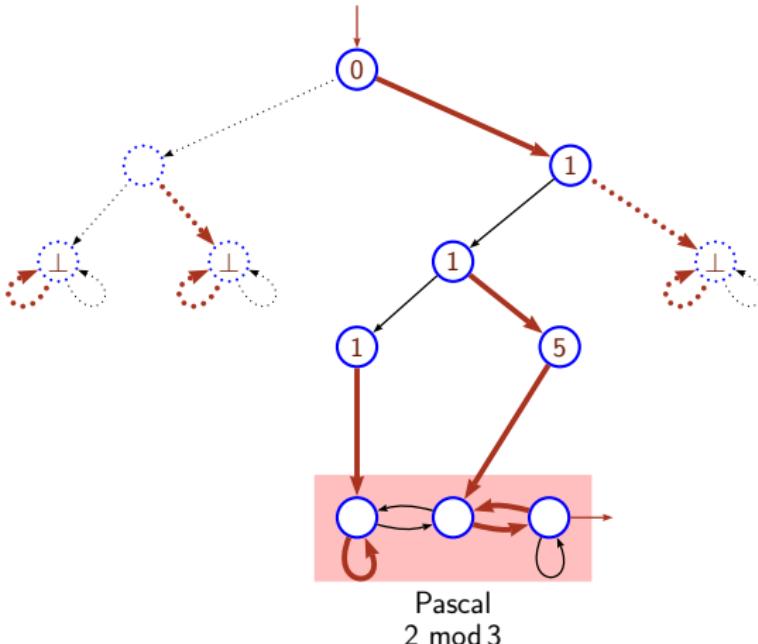
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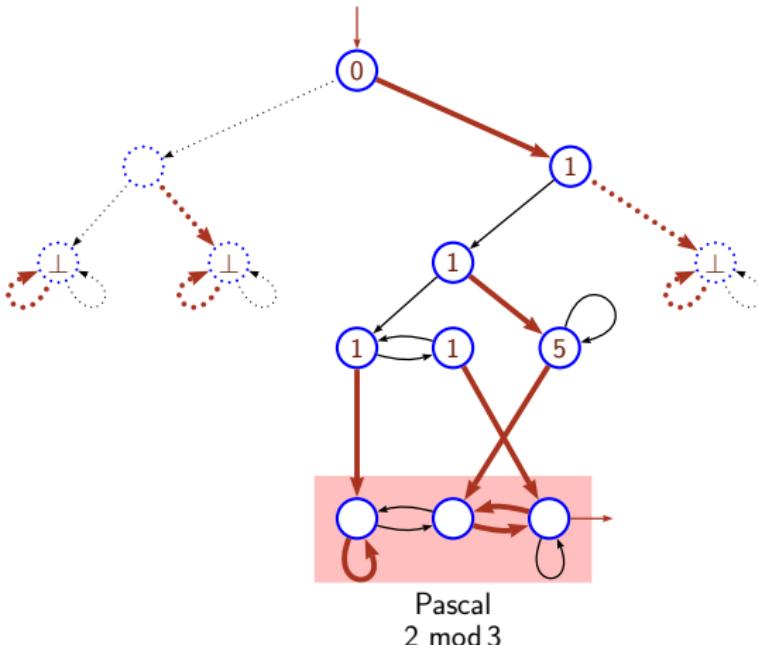
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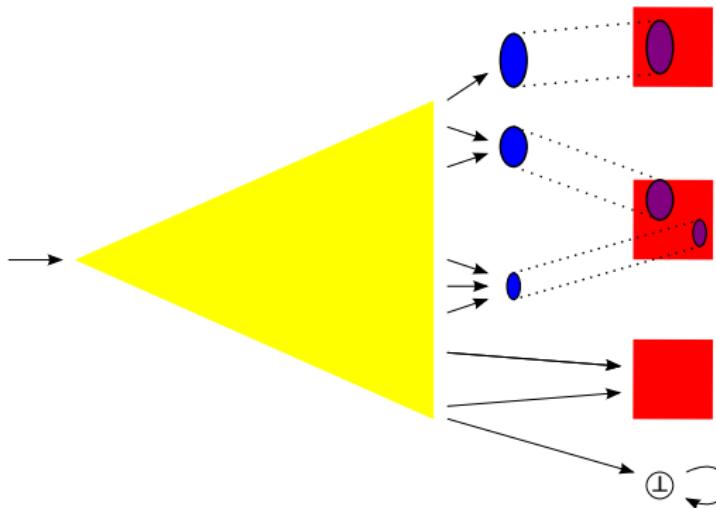
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## DAG

## 0-circuits

## Pascal



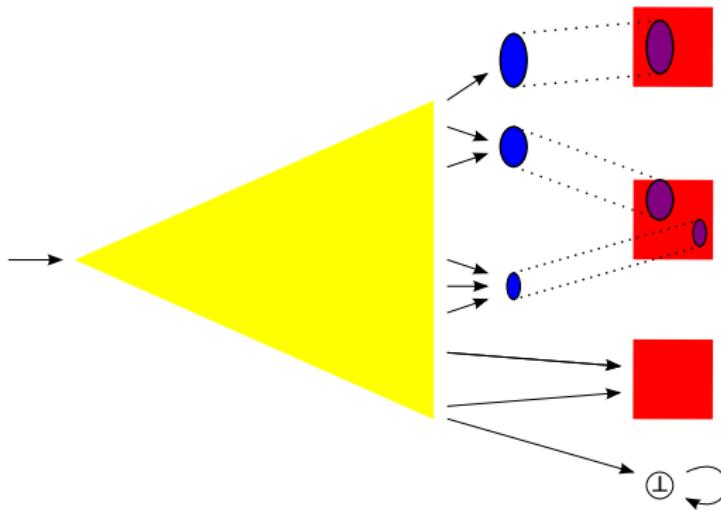
a set of (UP) :  $\{n \mid n > m \text{ and } n \equiv r [p] \text{ with } r \in R\}$

- preperiod
- period
- remainder set

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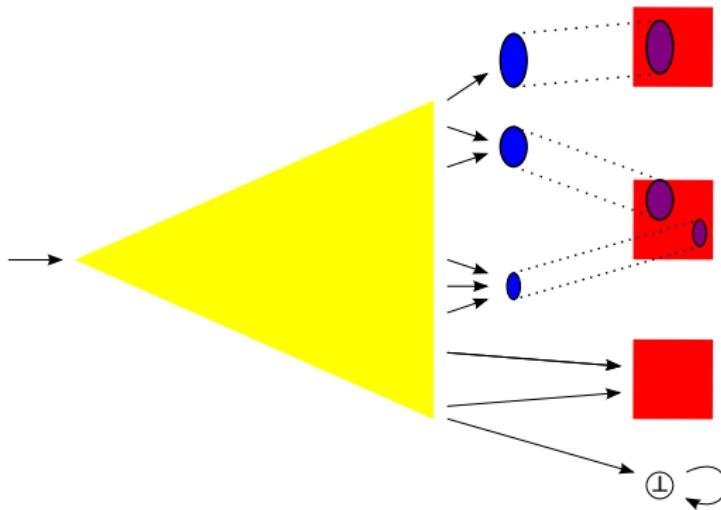
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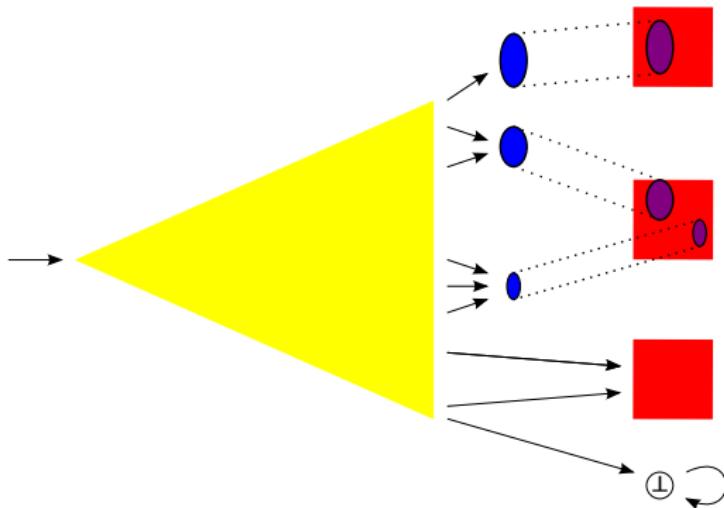
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- period  $\Rightarrow$  Pascal's period & DAG depth.
- remainder set  $\Rightarrow$  # of Pascal's & Pascal's remainder sets

## Theorem (Correctness)

$\mathcal{A}$ : a *minimal* automaton.

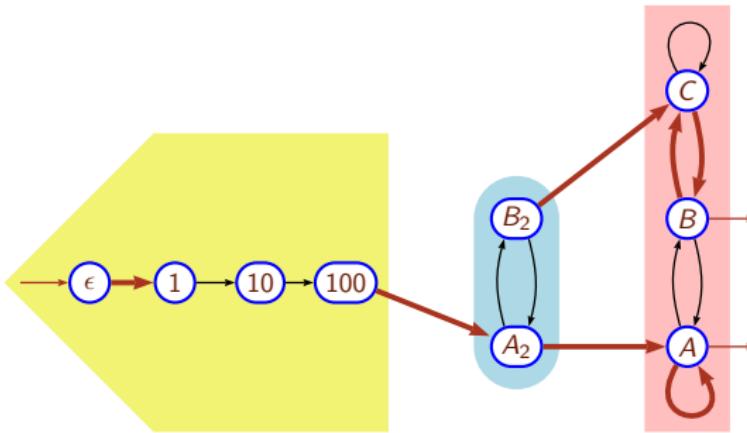
$\mathcal{A}$  satisfies the UP-criterion  $\Rightarrow L(\mathcal{A})$  is (UP).

WLOG we can assume that, in  $\mathcal{A}$ ,

- the only final states are in the Pascal automata  
[since other final states accepts only finitely many integer];
- the DAG-part is a simple path  
[since the union of (UP) sets is a (UP) set].

## Proof of Correctness on an example (2)

35

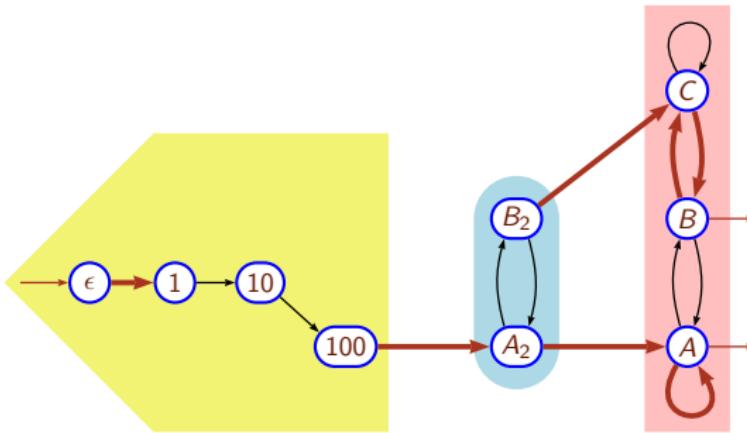


### Lemma (isotropism)

Changing the initial state of a Pascal automaton  $\mathcal{P}_p^R$  yields a Pascal automaton  $\mathcal{P}_p^S$  with the same period  $p$  but a different remainder  $S$ .

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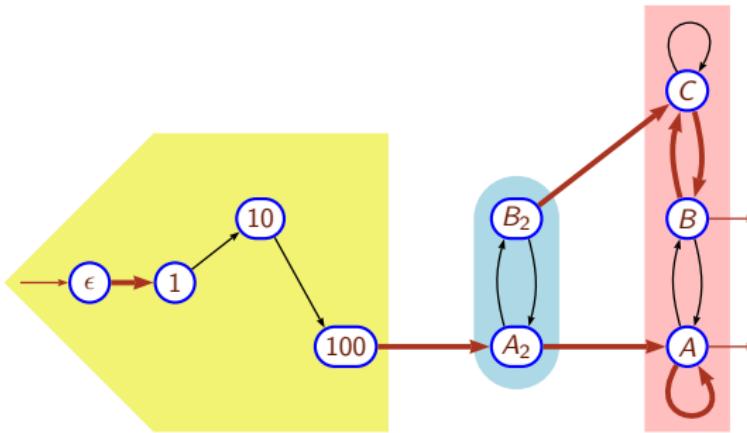


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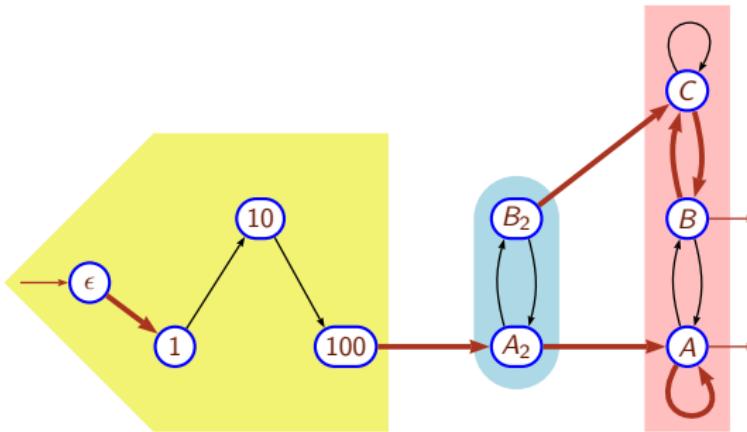


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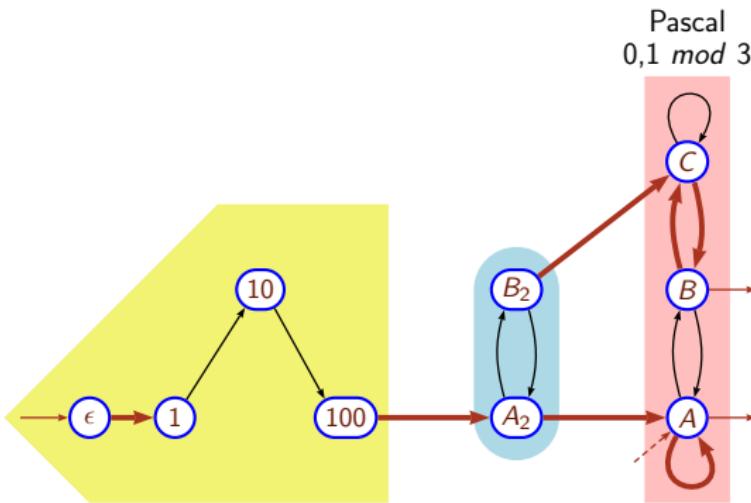


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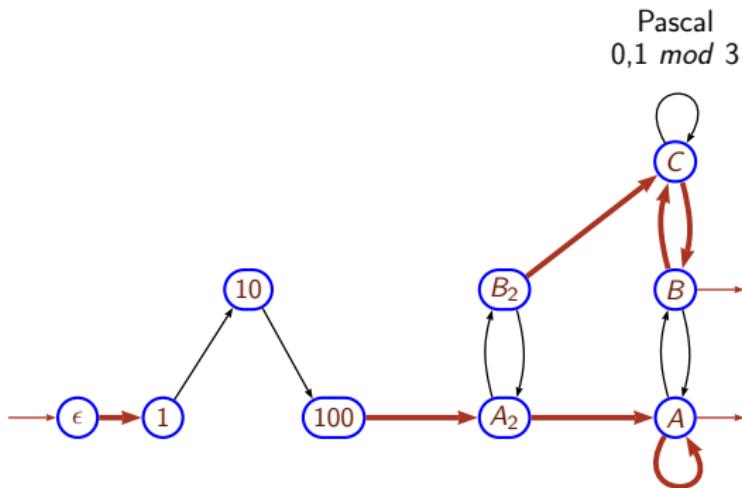
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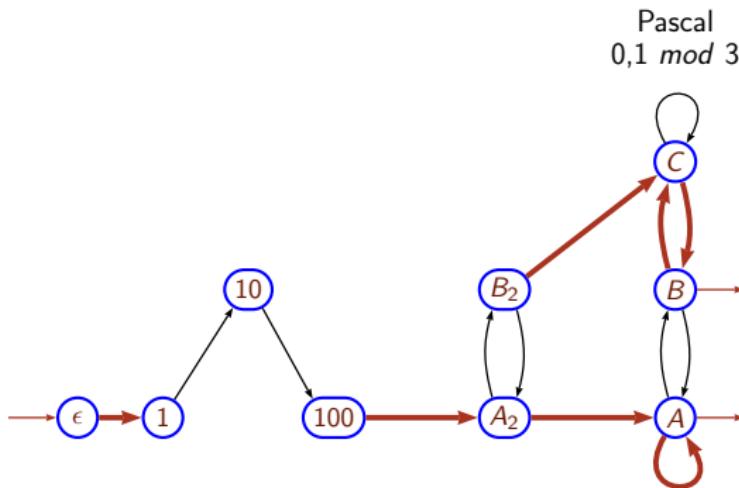


A word  $u$  is accepted if and only if

$u$  reaches the Pascal aut. and  $\pi(u) \equiv 0,1 \bmod 3$ .

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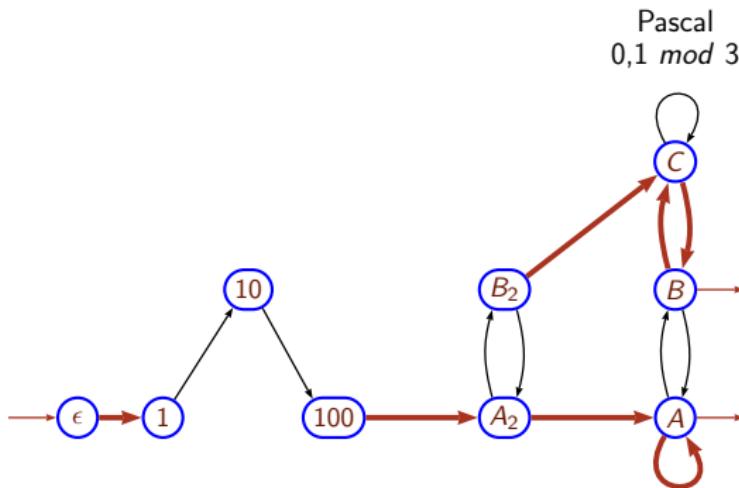


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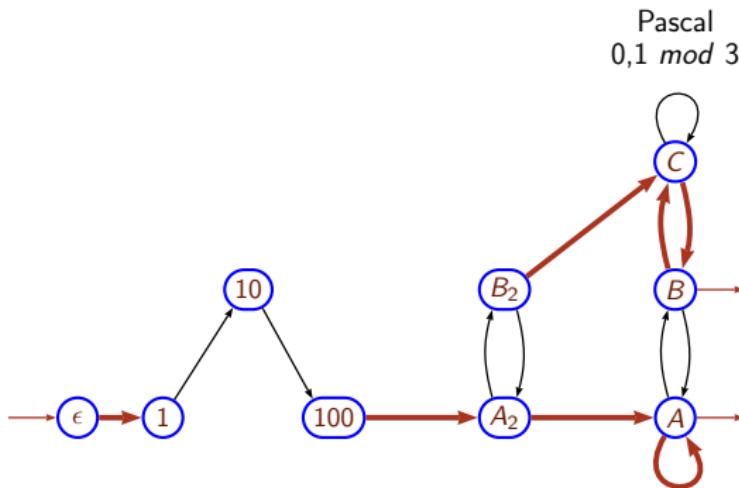


A word  $u$  is accepted if and only if

$$\underbrace{u \text{ starts with } 10010^i1}_{\Leftrightarrow u > 16 \text{ and } \pi(u) \equiv 9 \pmod{16}} \text{ and } \pi(u) \equiv 0,1 \pmod{3}.$$

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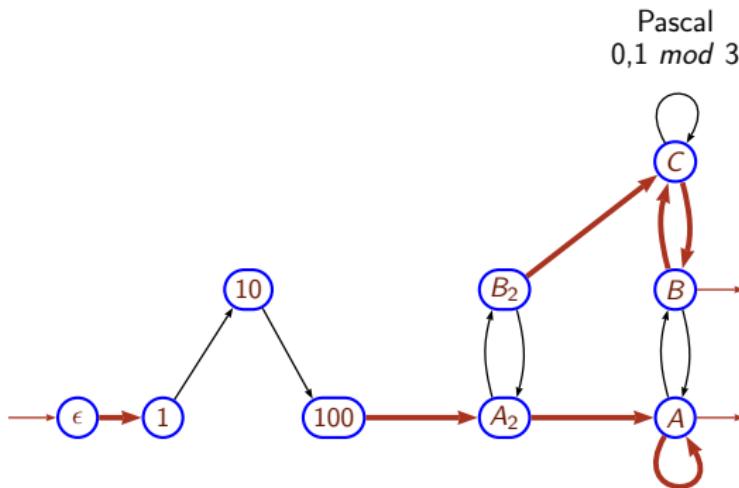


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A word  $u$  is accepted if and only if

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## Conclusion

- Quasilinear algorithm to decide whether a DFA is (UP)
- Structural characterisation of minimal (UP) DFA

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## Future work

- Getting rid of the minimality condition
  - Work in progress...
- Getting rid of determinism condition
  - Seems unrealistic with this method.
- Generalising this method to U-Systems
  - The “*isotropism* lemma” is false in the general case
  - Yields an EXP-TIME algorithm (no better than [ASR’09])
  - In nice cases (Fibonacci, Tribonacci, etc), it may yield a P-TIME algorithm.